ETH Zurich Department of Mechanical and Process Engineering Statistical Mechanics of Elasticity Exercise 3 - Summer 2007

Useful Definitions

Phase Average of the Microcanonical Ensemble

The microcanonical ensemble is characterized by a constant total energy E, i.e. for all replicas in the ensemble

$$H(\mathbf{q}, \mathbf{p}) = E. \tag{1}$$

As a result of this restriction, the representation of the ensemble in phase space is a (2N-1)-dimensional hypersurface. The ensemble average can be calculated from

$$\bar{F} = \frac{1}{\Omega(E)} \frac{\mathrm{d}}{\mathrm{d}E} \int_{V_E} F(\mathbf{q}, \mathbf{p}) \,\mathrm{d}\mathbf{q} \,\mathrm{d}\mathbf{p},\tag{2}$$

where V_E is the domain in phase space for which $H(\mathbf{q}, \mathbf{p}) \leq E$ holds for the system Hamiltonian and where

$$\Omega(E) = \frac{\mathrm{d}}{\mathrm{d}E} \int_{V_E} \,\mathrm{d}\mathbf{q} \,\mathrm{d}\mathbf{p},\tag{3}$$

is the so-called structure function or density of states of the system.

Weakly Coupled Systems

An (isolated) combination of two systems A and B is called *uncoupled* if its Hamiltonian is

$$H_{A+B}(\mathbf{q}_A, \mathbf{q}_B, \mathbf{p}_A, \mathbf{p}_B) = H_A(\mathbf{q}_A, \mathbf{p}_A) + H_B(\mathbf{q}_B, \mathbf{p}_B),$$
(4)

where H_{A+B} is the Hamiltonian of the entire system and H_A and H_B are the individual Hamiltonians of the isolated systems.

An (isolated) combination of two systems A and B is called *coupled* if its Hamiltonian has the form

$$H_{A+B}(\mathbf{q}_A, \mathbf{q}_B, \mathbf{p}_A, \mathbf{p}_B) = H_A(\mathbf{q}_A, \mathbf{p}_A) + H_B(\mathbf{q}_B, \mathbf{p}_B) + H_{AB}(\mathbf{q}_A, \mathbf{q}_B, \mathbf{p}_A, \mathbf{p}_B),$$
(5)

where H_{A+B} is the Hamiltonian of the entire system, H_A and H_B are the Hamiltonians of the isolated systems A and B and where $H_{AB} \neq 0$ contains all coupling terms.

A coupled (isolated) combination of two systems A and B with Hamiltonian

$$H_{A+B}(\mathbf{q}_A, \mathbf{q}_B, \mathbf{p}_A, \mathbf{p}_B) = H_A(\mathbf{q}_A, \mathbf{p}_A) + H_B(\mathbf{q}_B, \mathbf{p}_B) + H_{AB}(\mathbf{q}_A, \mathbf{q}_B, \mathbf{p}_A, \mathbf{p}_B)$$
(6)

is called *weakly coupled* if one can neglect the coupling term $H_{AB} \neq 0$ when calculating integrals over phase space.

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Problem 1 - Time and phase averages



Figure 1: A one-dimensional harmonic oscillator.

Consider a one-dimensional oscillator (see Figure 1) with mass m = 1 and stiffness k = 2. The deviation from the undeformed configuration is described by q, the initial conditions of the system are q(t = 0) = 1 and $\dot{q}(t = 0) = 0$. For the given system, calculate the time and phase averages of the displacement q, the potential energy $\frac{1}{2}kq^2$, the

momentum $p = m\dot{q}$ and the kinetic energy $\frac{1}{2}m\dot{q}^2 = \frac{p^2}{2m}$ To to so, carry out the following steps:

- a) Derive and solve the equations of motion for the system for the given boundary condition. Does this motion cover the whole constant-energy hypersurface?
- b) Compute the time average of the desired phase functions.
- c) Calculate the two-dimensional volume of the domain in phase space V(E) for which $H(q, p) \le E$ and take its derivative to determine the structure function $\Omega(E)$ according to (3).
- d) Now determine the phase averages of the desired phase functions using (2) and verify its equality with the time averages.

The Mathematica file corresponding to this problem, *harmonicOscillator.nb*, can be downloaded from the course homepage.

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Homework 1 - Time and phase averages



Figure 2: Two weakly coupled one-dimensional harmonic oscillators.

Now consider two harmonic oscillators with masses m = 1 and stiffnesses K = 10 that are weakly coupled by a spring of stiffness $k \ll K$. The positions of the oscillators are described by q_1 and q_2 where $q_i = 0$ refers to the undeformed state. The initial conditions of the system are given by $q_1(t = 0) = 1$, $q_2(t = 0) = 0$ and $p_i(t = 0) = 0$.

- a) Calculate the four-dimensional volume of the domain in phase space V(E) for which $H(\mathbf{q}, \mathbf{p}) \leq E$ and take its derivative to determine the structure function $\Omega(E)$. In carrying out the calculation, make sure that your integration boundaries are such that the total energy is smaller or equal to E and that all possible distributions of the energy to the two systems are considered. Assume the system is weakly coupled.
- b) Now determine the phase averages of the square displacement of the first mass q_1^2 , the square momentum of the second mass p_1^2 , the kinetic energy of the system $\frac{p_1^2 + p_2^2}{2m}$ and its potential energy $\frac{1}{2}K(q_1^2 + q_2^2) + \frac{1}{2}k(q_1 q_2)^2$ using (2) for the cases (i) k = 0, (ii) k = 0.1 and (iii) k = 1. Again, weak coupling can be assumed.
- c) Compare the computed phase averages with the time averages obtained in the last homework. How is the agreement related with the stiffness k? Identify the terms H_A , H_B and H_{AB} of the system Hamiltonian for all three values of k and relate your results to the agreements of time and phase averages.

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