



# PACIFIC EARTHQUAKE ENGINEERING RESEARCH CENTER

## **A Nonlinear Kinetic Model for Multi-Stage Friction Pendulum Systems**

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PEER Report No. 2017/07  
Pacific Earthquake Engineering Research Center  
Headquarters at the University of California, Berkeley

October 2017

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The opinions, findings, and conclusions or recommendations expressed in this publication are those of the author(s) and do not necessarily reflect the views of the study sponsor(s) or the Pacific Earthquake Engineering Research Center.

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## ABSTRACT

Multi-stage friction pendulum systems (MSFPs), or more specifically the triple friction pendulum (TFP), are currently being developed as seismic isolation devices for buildings and other large structures. However, all current models are inadequate in properly modeling all facets of these devices. Either the model can only handle uni-directional ground motions while incorporating the kinetics of the TFP system, or the model ignores the kinetics and only models bi-directional motion. And in all cases, the model is linearized to simplify the equations.

This paper presents an all-in-one model that incorporates the full nonlinear kinetics of the TFP system while allowing for bi-directional ground motion. In this way, the model presented here is the most complete single model currently available. The model is developed in such a way that allows for easy expansion to any standard type of MSFP, simply by following the procedure outlined in this paper.

It was found that the nonlinear model can more accurately predict the experimental results for large displacements due to the nonlinear kinematics used to describe the system. It is also shown that the inertial effects of TFP system are negligible in normal operating regimes, however, in the event of uplift, the inertial effects may become significant. The model is also able to accurately predict the experimental results for complicated bi-directional ground motions.



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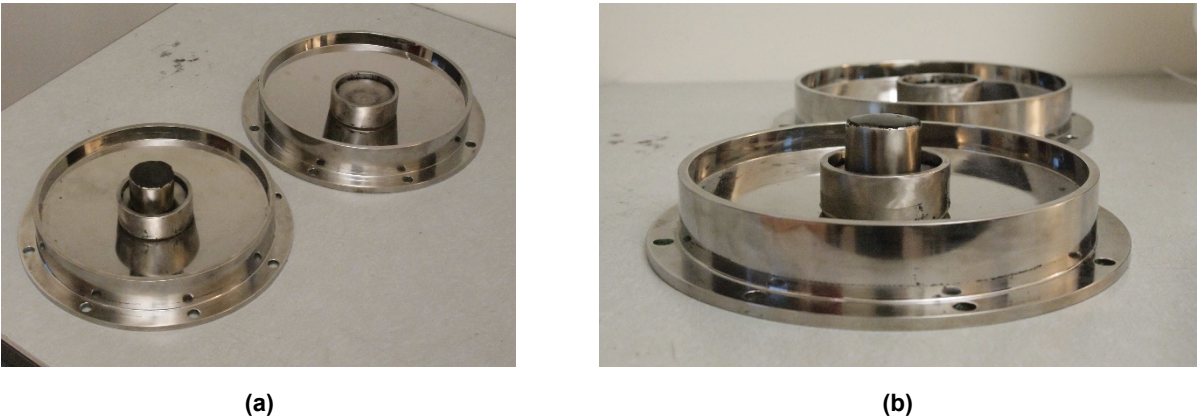
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# 1 Introduction

Multi-stage friction pendulum systems (MSFPs) are currently being designed and developed as seismic isolation devices for a wide range of structural and non-structural systems [Mokha et al. 1996; Warn and Ryan 2012; and Zayas and Low 1999]. One of the earliest forms of the MSFP was the single friction pendulum developed by Zayas et al. [1987]. This original design has been expanded to double and triple friction pendulums to increase the utility of the device as a seismic isolator [Fadi and Constantinou 2010; Fenz and Constantinou 2008c]. These seismic isolators consist of steel bearings with spherical concave surfaces that slide along one another. An example of the triple friction pendulum (TFP) can be seen in Figure 1.1. As the bearings slide along one another, they are able to provide restoring forces related to the relative displacement between bearings, which creates a variable stiffness associated with the overall motion of the friction pendulum [Fadi and Constantinou 2010]. Also, the friction between sliding bearings gives the friction pendulums a hysteretic behavior [Fenz and Constantinou 2008c].



**Figure 1.1** (a) An overview image of an example of a Triple Friction Pendulum (TFP); and (b) a close up front view of a TFP.

Multiple areas of the world, including California and Japan, are at a constant risk from a major earthquake, and the proper usage of seismic isolators, such as MSFPs, can drastically reduce the damage sustained by buildings, bridges, etc. due to strong ground motion [Constantinou et al. 2007; Morgan and Mahin 2010]. For this reason, well-functioning models of MSFPs are necessary to make sure that structures are properly isolated in the event of an earthquake. As the usage of MSFPs has become more common, extensive experimental tests on MSFPs have been undertaken to help characterize their motion due to different types of

excitation [Fenz and Constantinou 2008b; Mosqueda et al. 2004]. However, experimental tests can be expensive and time consuming; thus numerical models were developed to simulate the behavior of these MSFPs [Becker and Mahin 2013a; Becker and Mahin 2013b; Fenz and Constantinou 2008a; and Tsai et al. 2010]. While current models have come a long way, no current model for MSFPs utilizes a rigorous setup for the kinematics of the internal sliders; they start directly with scalar equations. Another drawback of current models is that no single model incorporates the full kinetics of the MSFPs with bi-directional motion; they model either the full kinetics for uni-directional motion or the bi-directional motion with only kinematics and no kinetics.

This paper aims to expand upon the current models for Multi-Stage Friction Pendulum systems (MSFPs), which will incorporate the full kinetics, with no linearization as-assumptions and no restrictions on the overall motion. A rigorous use of vectors to describe the kinematics of the internal sliders will help to clarify the overall motion of MSFPs. This will also aid in the setup of the kinetics of the MSFPs, as well as facilitating the modeling of multi-directional motion. The model presented herein will incorporate full vectorially described motion with trajectories constrained to the configuration manifold as defined by mathematically-precise constraints.

Constructing the model in this way directly facilitates a number of modeling advances and naturally leads to robust numerical approximations. The advantages of the model are as follows: (1) it will be a geometrically fully nonlinear model; (2) it will be able to naturally handle multi-directional motions, including complex rotary motions on the sliding surfaces, top and bottom plate rotations, etc.; (3) by construction, it will be fully dynamic and allow for rate dependent analysis; and (4) it will be modular and permit the use of advanced friction models. This paper will apply the vectorized motion to that of the triple friction pendulum system, a type of MSFP, as a benchmark for the new model, but it will be done in such a way that allows for easy expansion to other, more complicated MSFP systems.



## 2 Triple Friction Pendulum: Equations of Motion

First, the equations of motion for the TFP are defined. In doing so, the patterns in the equations will clearly demonstrate they can be easily expanded to more complicated MSFPs. Figure 2.1 shows a cross-sectional view of the TFP used in this paper.

### 2.1 KINEMATICS

In order to define the equations of motion, the position vectors of all of the important locations in the TFP need to be defined, such as the center-of-mass of each bearing. Each bearing will have its own set of co-rotational basis vectors defined using sets of 1-2-3 Euler angles [O'Reilly 2008], all relative to the previous bearing. It is worth noting that the Euler angle singularity for the 1-2-3 set occurs when the second rotation angle—in this paper defined as  $\theta_\alpha$ —is equal to  $\pm \frac{\pi}{2}$

[O'Reilly 2008]. In order to avoid this singularity,  $\theta_\alpha$  is restricted to  $\theta_\alpha \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ , which is well within the operating regime of MSFPs. By taking advantage of the axial symmetry of the bearings, only the 1-2 Euler angles are needed to define the basis vectors. Figure 2.2 shows graphically how the basis vectors are constructed from 1-2-3 Euler angles for the first set of Euler angles. The co-rotational bases are defined as:

$$\mathbf{t}_i^1 = \mathbf{R}_1 \mathbf{E}_i, \quad \mathbf{t}_i^2 = \mathbf{R}_2 \mathbf{t}_i^1, \quad \mathbf{t}_i^3 = \mathbf{R}_3 \mathbf{t}_i^2, \quad \mathbf{t}_i^4 = \mathbf{R}_4 \mathbf{t}_i^3, \quad \mathbf{t}_i^5 = \mathbf{R}_5 \mathbf{t}_i^4 \quad (2.1)$$

with the following rotation tensors

$$\begin{aligned} \mathbf{R}_1 &= \mathbf{R}(\psi_1, \theta_1, \mathbf{E}_i), & \mathbf{R}_2 &= \mathbf{R}(\psi_2, \theta_2, \mathbf{t}_i^1) & \mathbf{R}_3 &= \mathbf{R}(\psi_3, \theta_3, \mathbf{t}_i^2) \\ \mathbf{R}_4 &= \mathbf{R}(\psi_4, \theta_4, \mathbf{t}_i^3) & \mathbf{R}_5 &= \mathbf{R}(\psi_5, \theta_5, \mathbf{t}_i^4) \end{aligned} \quad (2.2)$$

Each rotation tensor can be broken down into a series of two rotations as follows:

$$\mathbf{R}(\psi_1, \theta_1, \mathbf{E}_i) = \mathbf{L}_2(\theta_1, \mathbf{t}_i^1) \mathbf{L}_1(\psi_1, \mathbf{E}_i) \quad (2.3)$$

where the individual rotations have the following definitions

$$\mathbf{L}_1(\psi_1, \mathbf{E}_i) = \cos(\psi_1)(\mathbf{E}_2 \otimes \mathbf{E}_2 + \mathbf{E}_3 \otimes \mathbf{E}_3) + \sin(\psi_1)(\mathbf{E}_3 \otimes \mathbf{E}_2 - \mathbf{E}_2 \otimes \mathbf{E}_3) + \mathbf{E}_1 \otimes \mathbf{E}_1 \quad (2.4)$$

and

$$\mathbf{L}_2(\theta_1, \mathbf{t}'_i) = \cos(\theta_1)(\mathbf{t}'_3 \otimes \mathbf{t}'_3 + \mathbf{t}'_1 \otimes \mathbf{t}'_1) + \sin(\theta_1)(\mathbf{t}'_1 \otimes \mathbf{t}'_3 - \mathbf{t}'_3 \otimes \mathbf{t}'_1) + \mathbf{t}'_2 \otimes \mathbf{t}'_2 \quad (2.5)$$

where the intermediate co-rotational basis,  $\mathbf{t}'_i$ , is defined as

$$\mathbf{t}'_i = \mathbf{L}_1(\psi_1, \mathbf{E}_i) \mathbf{E}_i \quad (2.6)$$

The co-rotational  $\mathbf{t}'_i$  is applied to the center-of-mass of the  $\alpha$  bearing; an example for bearings 1 and 2 can be seen in Figure 2.3.

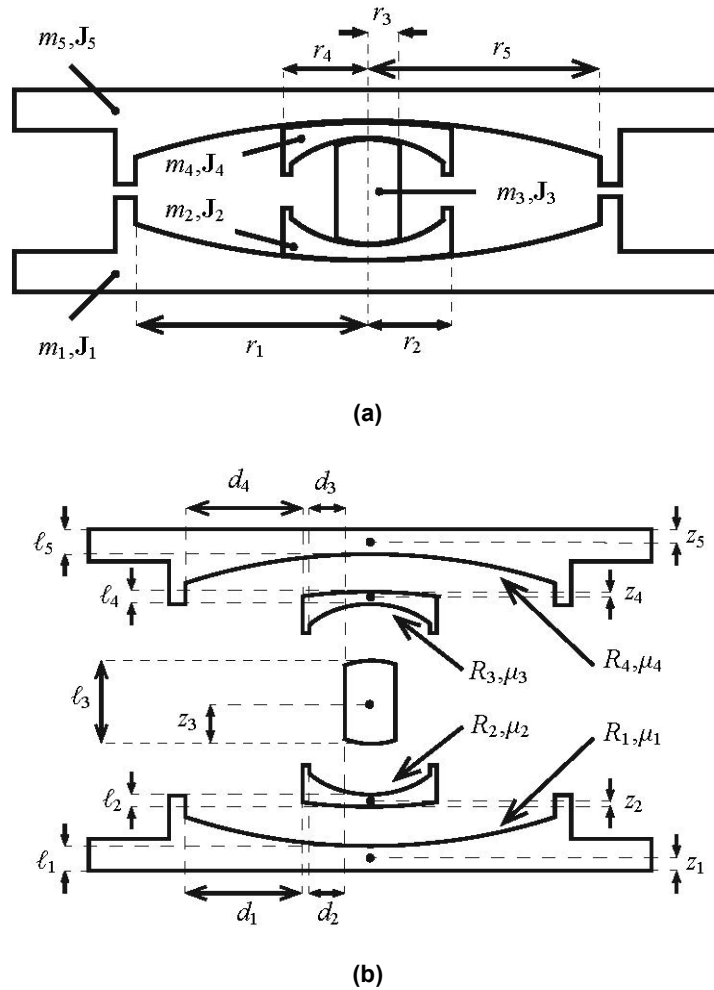
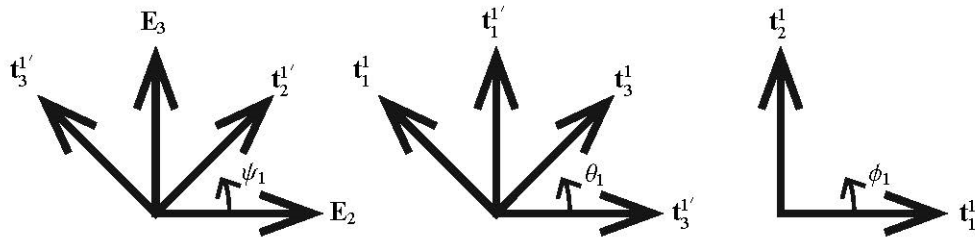
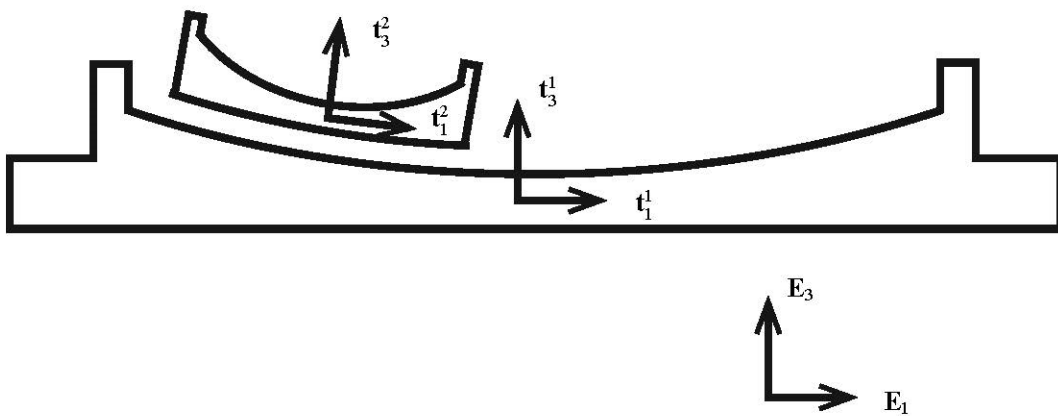


Figure 2.1 (a) Diagram of a Triple Friction Pendulum (TFP) model; and (b) expanded view of the TFP.

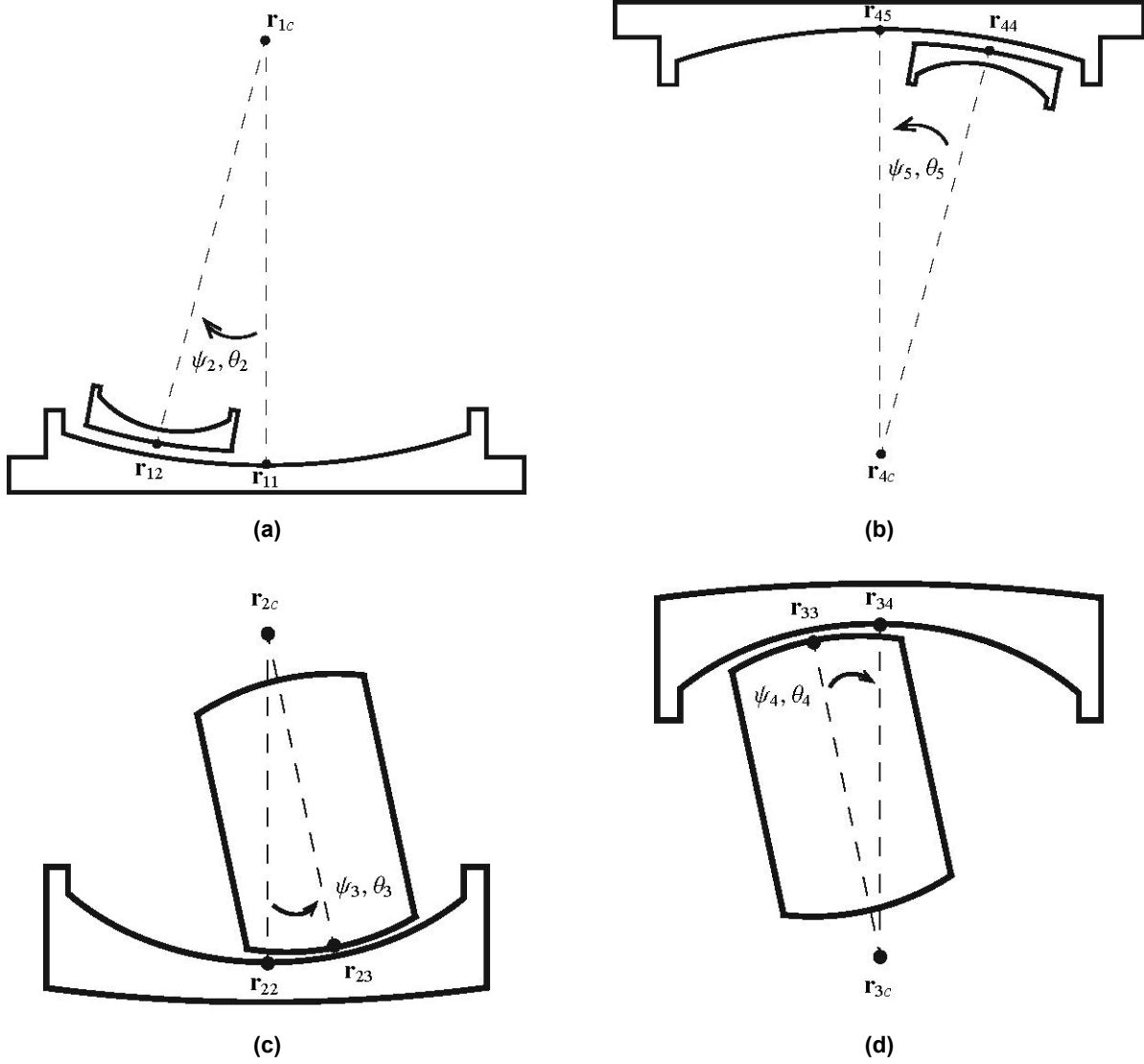


**Figure 2.2** The 2-D change of coordinates from the 1-2-3 Euler angles. Note that in each 2-D coordinate system shown, there is a third unit vector pointing out of the page following the right-hand rule about which the 2-D coordinate system is rotating.



**Figure 2.3** Locations of the co-rotational basis vectors for the first two bearings. Note that for each coordinate system shown, there is a third vector pointing into the page following the right-hand rule.

The position vectors will all be defined relative to the previous bearing using these co-rotational bases—starting from the ground contact point with the bottom bearing—defined as  $\mathbf{r}_{01}$ , going all the way to the top of the final bearing, defined as  $\mathbf{r}_{55}$ . Figures 2.1 and 2.4 show what all of the physical qualities represent, as well as the physical locations of some of the required position vectors.



**Figure 2.4 Sliding angles for all four sliding surfaces.**

All of the required position vectors are defined as follows:

$$\mathbf{r}_{01} = u_{g1}\mathbf{E}_1 + u_{g2}\mathbf{E}_2 + u_{g3}\mathbf{E}_3 \quad (2.7)$$

which is the ground contact point of bearing one,

$$\mathbf{r}_1 = \mathbf{r}_{01} + z_1\mathbf{t}_3^1 \quad (2.8)$$

which is the center-of-mass of bearing one,

$$\mathbf{r}_{11} = \mathbf{r}_1 + (\ell_1 - z_1)\mathbf{t}_3^1 \quad (2.9)$$

which is the center top of bearing one,

$$\mathbf{r}_{1c} = \mathbf{r}_{11} + R_1\mathbf{t}_3^1 \quad (2.10)$$

which is the center of the sphere created by the sliding surface with radius  $R_1$ ,

$$\mathbf{r}_{12} = \mathbf{r}_{1c} - R_1 \mathbf{t}_3^1 \quad (2.11)$$

which is the center bottom of bearing two,

$$\mathbf{r}_2 = \mathbf{r}_{12} + z_2 \mathbf{t}_3^2 \quad (2.12)$$

which is the center-of-mass of bearing two,

$$\mathbf{r}_{22} = \mathbf{r}_2 + (\ell_2 - z_2) \mathbf{t}_3^2 \quad (2.13)$$

which is the center top of bearing two,

$$\mathbf{r}_{2c} = \mathbf{r}_{22} + R_2 \mathbf{t}_3^2 \quad (2.14)$$

which is the center of the sphere created by the sliding surface with radius  $R_2$ ,

$$\mathbf{r}_{23} = \mathbf{r}_{2c} - R_2 \mathbf{t}_3^3 \quad (2.15)$$

which is the center bottom of bearing three,

$$\mathbf{r}_3 = \mathbf{r}_{23} + z_3 \mathbf{t}_3^3 \quad (2.16)$$

which is the center-of-mass of bearing three,

$$\mathbf{r}_{33} = \mathbf{r}_3 + (\ell_3 - z_3) \mathbf{t}_3^3 \quad (2.17)$$

which is the center top of bearing three,

$$\mathbf{r}_{3c} = \mathbf{r}_{33} - R_3 \mathbf{t}_3^3 \quad (2.18)$$

which is the center of the sphere created by the sliding surface with radius  $R_3$ ,

$$\mathbf{r}_{34} = \mathbf{r}_{3c} + R_3 \mathbf{t}_3^4 \quad (2.19)$$

which is the center bottom of bearing four,

$$\mathbf{r}_4 = \mathbf{r}_{34} + (\ell_3 - z_4) \mathbf{t}_3^4 \quad (2.20)$$

which is the center-of-mass of bearing four,

$$\mathbf{r}_{44} = \mathbf{r}_4 + z_4 \mathbf{t}_3^4 \quad (2.21)$$

which is the center top of bearing four,

$$\mathbf{r}_{4c} = \mathbf{r}_{44} - R_4 \mathbf{t}_3^4 \quad (2.22)$$

which is the center of the sphere created by the sliding surface with radius  $R_4$ ,

$$\mathbf{r}_{45} = \mathbf{r}_{4c} + R_4 \mathbf{t}_3^5 \quad (2.23)$$

which is the center bottom of bearing five,

$$\mathbf{r}_5 = \mathbf{r}_{45} + (\ell_5 - z_5) \mathbf{t}_3^5 \quad (2.24)$$

which is the center-of-mass of bearing five,

$$\mathbf{r}_{55} = \mathbf{r}_5 + z_5 \mathbf{t}_3^5 \quad (2.25)$$

which is the center top of bearing five,

Now that all of the relevant position vectors have been defined, the velocity vectors associated with each position vector need to be defined. In addition, the angular velocities of each bearing are needed:

$$\boldsymbol{\omega}_1 = \dot{\theta}_1 \mathbf{t}_2^1 + \dot{\psi}_1 \mathbf{E}_1 \quad (2.26)$$

which is the angular velocity of bearing one excluding any rotational about the axis of symmetry.

$$\boldsymbol{\omega}'_1 = \dot{\phi}_1 \mathbf{t}_3^1 + \boldsymbol{\omega}_1 \quad (2.27)$$

which is the total angular velocity of bearing one,

$$\boldsymbol{\omega}_2 = \dot{\theta}_2 \mathbf{t}_2^2 + \dot{\psi}_2 \mathbf{t}_1^1 + \boldsymbol{\omega}_1 \quad (2.28)$$

which is the angular velocity of bearing two excluding any rotation about the axis of symmetry.

$$\boldsymbol{\omega}'_2 = \dot{\phi}_2 \mathbf{t}_3^2 + \boldsymbol{\omega}_2 \quad (2.29)$$

which is the total angular velocity of bearing two

$$\boldsymbol{\omega}_3 = \dot{\theta}_3 \mathbf{t}_2^3 + \dot{\psi}_3 \mathbf{t}_1^2 + \boldsymbol{\omega}_2 \quad (2.30)$$

which is the total angular velocity of bearing three excluding any rotation about the axis of symmetry,

$$\boldsymbol{\omega}'_3 = \dot{\phi}_3 \mathbf{t}_3^3 + \boldsymbol{\omega}_3 \quad (2.31)$$

which is the angular velocity of bearing three,

$$\boldsymbol{\omega}_4 = \dot{\theta}_4 \mathbf{t}_2^4 + \dot{\psi}_4 \mathbf{t}_1^3 + \boldsymbol{\omega}_3 \quad (2.32)$$

which is the angular velocity of bearing four excluding any rotation about the axis of symmetry,

$$\boldsymbol{\omega}'_4 = \dot{\phi}_4 \mathbf{t}_3^4 + \boldsymbol{\omega}_4 \quad (2.33)$$

which is the total angular velocity of bearing four,

$$\boldsymbol{\omega}_5 = \dot{\theta}_5 \mathbf{t}_2^5 + \dot{\psi}_5 \mathbf{t}_1^4 + \boldsymbol{\omega}_4 \quad (2.34)$$

which is the angular velocity of bearing five excluding any rotation about the axis of symmetry, and

$$\boldsymbol{\omega}'_5 = \dot{\phi}_5 \mathbf{t}_3^5 + \boldsymbol{\omega}_5 \quad (2.35)$$

which is the total angular velocity of bearing five. Using the following relations,

$$\dot{\mathbf{t}}_i^1 = \boldsymbol{\omega}_1 \times \mathbf{t}_i^1 \quad \dot{\mathbf{t}}_i^2 = \boldsymbol{\omega}_2 \times \mathbf{t}_i^2 \quad \dot{\mathbf{t}}_i^3 = \boldsymbol{\omega}_3 \times \mathbf{t}_i^3 \quad \dot{\mathbf{t}}_i^4 = \boldsymbol{\omega}_4 \times \mathbf{t}_i^4 \quad \dot{\mathbf{t}}_i^5 = \boldsymbol{\omega}_5 \times \mathbf{t}_i^5 \quad (2.36)$$

the velocity vectors are defined as follows:

$$\mathbf{v}_{01} = \dot{u}_{g1}\mathbf{E}_1 + \dot{u}_{g2}\mathbf{E}_2 + \dot{u}_{g3}\mathbf{E}_3 \quad (2.37)$$

$$\mathbf{v}_1 = \mathbf{v}_{01} + z_1\boldsymbol{\omega}_1 \times \mathbf{t}_3^1 \quad (2.38)$$

$$\mathbf{v}_{11} = \mathbf{v}_1 + z_1\boldsymbol{\omega}_1 \times \mathbf{t}_3^1 \quad (2.39)$$

$$\mathbf{v}_{1c} = \mathbf{v}_{11} + R_1\boldsymbol{\omega}_1 \times \mathbf{t}_3^1 \quad (2.40)$$

$$\mathbf{v}_{12} = \mathbf{v}_{1c} + R_1\boldsymbol{\omega}_1 \times \mathbf{t}_3^1 \quad (2.41)$$

$$\mathbf{v}_2 = \mathbf{v}_{12} + z_2\boldsymbol{\omega}_2 \times \mathbf{t}_3^2 \quad (2.42)$$

$$\mathbf{v}_{22} = \mathbf{v}_2 + (\ell_2 - z_2)\boldsymbol{\omega}_2 \times \mathbf{t}_3^2 \quad (2.43)$$

$$\mathbf{v}_{2c} = \mathbf{v}_{22} + R_2\boldsymbol{\omega}_2 \times \mathbf{t}_3^2 \quad (2.44)$$

$$\mathbf{v}_{23} = \mathbf{v}_{2c} - R_2\boldsymbol{\omega}_3 \times \mathbf{t}_3^3 \quad (2.45)$$

$$\mathbf{v}_3 = \mathbf{v}_{23} + z_3\boldsymbol{\omega}_3 \times \mathbf{t}_3^3 \quad (2.46)$$

$$\mathbf{v}_{33} = \mathbf{v}_3 + (\ell_3 - z_3)\boldsymbol{\omega}_3 \times \mathbf{t}_3^3 \quad (2.47)$$

$$\mathbf{v}_{3c} = \mathbf{v}_{33} - R_3\boldsymbol{\omega}_3 \times \mathbf{t}_3^3 \quad (2.48)$$

$$\mathbf{v}_{34} = \mathbf{v}_{3c} + R_3\boldsymbol{\omega}_4 \times \mathbf{t}_3^4 \quad (2.49)$$

$$\mathbf{v}_4 = \mathbf{v}_{34} + (\ell_4 - z_4)\boldsymbol{\omega}_4 \times \mathbf{t}_3^4 \quad (2.50)$$

$$\mathbf{v}_{44} = \mathbf{v}_4 + z_4\boldsymbol{\omega}_4 \times \mathbf{t}_3^4 \quad (2.51)$$

$$\mathbf{v}_{4c} = \mathbf{v}_{44} - R_4\boldsymbol{\omega}_4 \times \mathbf{t}_3^4 \quad (2.52)$$

$$\mathbf{v}_{45} = \mathbf{v}_{4c} + R_4\boldsymbol{\omega}_4 \times \mathbf{t}_3^4 \quad (2.53)$$

$$\mathbf{v}_5 = \mathbf{v}_{45} + (\ell_5 - z_5)\boldsymbol{\omega}_5 \times \mathbf{t}_3^5 \quad (2.54)$$

$$\mathbf{v}_{55} = \mathbf{v}_5 + z_5\boldsymbol{\omega}_5 \times \mathbf{t}_3^5 \quad (2.55)$$

where Equations (2.37)–(2.55) are the velocity vectors of the corresponding position vectors in Equations (2.7)–(2.25). Next the following angular acceleration vectors are required:

$$\dot{\boldsymbol{\omega}}_1 = \ddot{\theta}_1\mathbf{t}_2^1 + \boldsymbol{\omega}_1 \times \dot{\theta}_1\mathbf{t}_2^1 + \ddot{\psi}_1\mathbf{E}_1 \quad (2.56)$$

$$\dot{\boldsymbol{\omega}}_1' = \ddot{\phi}_1\mathbf{t}_3^1 + \boldsymbol{\omega}_1 \times \dot{\phi}_1\mathbf{t}_3^1 + \dot{\boldsymbol{\omega}}_1 \quad (2.57)$$

$$\dot{\boldsymbol{\omega}}_2 = \ddot{\theta}_2\mathbf{t}_2^2 + \boldsymbol{\omega}_2 \times \dot{\theta}_2\mathbf{t}_2^2 + \ddot{\psi}_2\mathbf{t}_1^1 + \boldsymbol{\omega}_1 \times \dot{\psi}_2\mathbf{t}_1^1 + \dot{\boldsymbol{\omega}}_1 \quad (2.58)$$

$$\dot{\boldsymbol{\omega}}_2' = \ddot{\phi}_2\mathbf{t}_3^2 + \boldsymbol{\omega}_2 \times \dot{\phi}_2\mathbf{t}_3^2 + \dot{\boldsymbol{\omega}}_2 \quad (2.59)$$

$$\dot{\boldsymbol{\omega}}_3 = \ddot{\theta}_3 \mathbf{t}_2^3 + \boldsymbol{\omega}_3 \times \dot{\theta}_3 \mathbf{t}_2^3 + \ddot{\psi}_3 \mathbf{t}_1^2 + \boldsymbol{\omega}_2 \times \dot{\psi}_3 \mathbf{t}_1^2 + \dot{\boldsymbol{\omega}}_2 \quad (2.60)$$

$$\dot{\boldsymbol{\omega}}_3' = \ddot{\phi}_3 \mathbf{t}_3^3 + \boldsymbol{\omega}_3 \times \dot{\phi}_3 \mathbf{t}_3^3 + \dot{\boldsymbol{\omega}}_3 \quad (2.61)$$

$$\dot{\boldsymbol{\omega}}_4 = \ddot{\theta}_4 \mathbf{t}_2^4 + \boldsymbol{\omega}_4 \times \dot{\theta}_4 \mathbf{t}_2^4 + \ddot{\psi}_4 \mathbf{t}_1^3 + \boldsymbol{\omega}_3 \times \dot{\psi}_4 \mathbf{t}_1^3 + \dot{\boldsymbol{\omega}}_3 \quad (2.62)$$

$$\dot{\boldsymbol{\omega}}_4' = \ddot{\phi}_4 \mathbf{t}_3^4 + \boldsymbol{\omega}_4 \times \dot{\phi}_4 \mathbf{t}_3^4 + \dot{\boldsymbol{\omega}}_4 \quad (2.63)$$

$$\dot{\boldsymbol{\omega}}_5 = \ddot{\theta}_5 \mathbf{t}_2^5 + \boldsymbol{\omega}_5 \times \dot{\theta}_5 \mathbf{t}_2^5 + \ddot{\psi}_5 \mathbf{t}_1^4 + \boldsymbol{\omega}_4 \times \dot{\psi}_5 \mathbf{t}_1^4 + \dot{\boldsymbol{\omega}}_4 \quad (2.64)$$

$$\dot{\boldsymbol{\omega}}_5' = \ddot{\phi}_5 \mathbf{t}_3^5 + \boldsymbol{\omega}_5 \times \dot{\phi}_5 \mathbf{t}_3^5 + \dot{\boldsymbol{\omega}}_5 \quad (2.65)$$

where Equations (2.56)–(2.65) are the angular acceleration vectors of the corresponding angular velocity vectors in Equations (2.26)–(2.35). Finally, the acceleration vectors of each of the above listed velocity vectors are defined as follows:

$$\dot{\mathbf{v}}_{01} = \ddot{u}_{g1} \mathbf{E}_1 + \ddot{u}_{g2} \mathbf{E}_2 + \ddot{u}_{g3} \mathbf{E}_3 \quad (2.66)$$

$$\dot{\mathbf{v}}_1 = \dot{\mathbf{v}}_{01} + z_1 \dot{\boldsymbol{\omega}}_1 \times \mathbf{t}_3^1 + z_1 \boldsymbol{\omega}_1 \times (\boldsymbol{\omega}_1 \times \mathbf{t}_3^1) \quad (2.67)$$

$$\dot{\mathbf{v}}_{11} = \dot{\mathbf{v}}_1 + (\ell_1 - z_1) \dot{\boldsymbol{\omega}}_1 \times \mathbf{t}_3^1 + (\ell_1 - z_1) \boldsymbol{\omega}_1 \times \boldsymbol{\omega}_1 \times \mathbf{t}_3^1 \quad (2.68)$$

$$\dot{\mathbf{v}}_{1c} = \dot{\mathbf{v}}_{11} + R_1 \dot{\boldsymbol{\omega}}_1 \times \mathbf{t}_3^1 + R_1 \boldsymbol{\omega}_1 \times (\boldsymbol{\omega}_1 \times \mathbf{t}_3^1) \quad (2.69)$$

$$\dot{\mathbf{v}}_{12} = \dot{\mathbf{v}}_{1c} - R_1 \dot{\boldsymbol{\omega}}_2 \times \mathbf{t}_3^2 - R_1 \boldsymbol{\omega}_2 \times (\boldsymbol{\omega}_2 \times \mathbf{t}_3^2) \quad (2.70)$$

$$\dot{\mathbf{v}}_2 = \dot{\mathbf{v}}_{12} + z_2 \dot{\boldsymbol{\omega}}_2 \times \mathbf{t}_3^2 + z_2 \boldsymbol{\omega}_2 \times (\boldsymbol{\omega}_2 \times \mathbf{t}_3^2) \quad (2.71)$$

$$\dot{\mathbf{v}}_{22} = \dot{\mathbf{v}}_2 + (\ell_2 - z_2) \dot{\boldsymbol{\omega}}_2 \times \mathbf{t}_3^2 + (\ell_2 - z_2) \boldsymbol{\omega}_2 \times (\boldsymbol{\omega}_2 \times \mathbf{t}_3^2) \quad (2.72)$$

$$\dot{\mathbf{v}}_{2c} = \dot{\mathbf{v}}_{22} + R_2 \dot{\boldsymbol{\omega}}_2 \times \mathbf{t}_3^2 + R_2 \boldsymbol{\omega}_2 \times (\boldsymbol{\omega}_2 \times \mathbf{t}_3^2) \quad (2.73)$$

$$\dot{\mathbf{v}}_{23} = \dot{\mathbf{v}}_{2c} - R_2 \dot{\boldsymbol{\omega}}_3 \times \mathbf{t}_3^3 - R_2 \boldsymbol{\omega}_3 \times (\boldsymbol{\omega}_3 \times \mathbf{t}_3^3) \quad (2.74)$$

$$\dot{\mathbf{v}}_3 = \dot{\mathbf{v}}_{23} + z_3 \dot{\boldsymbol{\omega}}_3 \times \mathbf{t}_3^3 + z_3 \boldsymbol{\omega}_3 \times (\boldsymbol{\omega}_3 \times \mathbf{t}_3^3) \quad (2.75)$$

$$\dot{\mathbf{v}}_{33} = \dot{\mathbf{v}}_3 + (\ell_3 - z_3) \dot{\boldsymbol{\omega}}_3 \times \mathbf{t}_3^3 + (\ell_3 - z_3) \boldsymbol{\omega}_3 \times (\boldsymbol{\omega}_3 \times \mathbf{t}_3^3) \quad (2.76)$$

$$\dot{\mathbf{v}}_{3c} = \dot{\mathbf{v}}_{33} - R_3 \dot{\boldsymbol{\omega}}_3 \times \mathbf{t}_3^3 - R_3 \boldsymbol{\omega}_3 \times (\boldsymbol{\omega}_3 \times \mathbf{t}_3^3) \quad (2.77)$$

$$\dot{\mathbf{v}}_{34} = \dot{\mathbf{v}}_{3c} + R_3 \dot{\boldsymbol{\omega}}_4 \times \mathbf{t}_3^4 + R_3 \boldsymbol{\omega}_4 \times (\boldsymbol{\omega}_4 \times \mathbf{t}_3^4) \quad (2.78)$$

$$\dot{\mathbf{v}}_4 = \dot{\mathbf{v}}_{34} + (\ell_4 - z_4) \dot{\boldsymbol{\omega}}_4 \times \mathbf{t}_3^4 + (\ell_4 - z_4) \boldsymbol{\omega}_4 \times (\boldsymbol{\omega}_4 \times \mathbf{t}_3^4) \quad (2.79)$$

$$\dot{\mathbf{v}}_{44} = \dot{\mathbf{v}}_4 + z_4 \dot{\boldsymbol{\omega}}_4 \times \mathbf{t}_3^4 + z_4 \boldsymbol{\omega}_4 \times (\boldsymbol{\omega}_4 \times \mathbf{t}_3^4) \quad (2.80)$$



$$\dot{\mathbf{v}}_{4c} = \dot{\mathbf{v}}_{44} - R_4 \dot{\boldsymbol{\omega}}_4 \times \mathbf{t}_3^4 - R_4 \boldsymbol{\omega}_4 \times (\boldsymbol{\omega}_4 \times \mathbf{t}_3^4) \quad (2.81)$$

$$\dot{\mathbf{v}}_{45} = \dot{\mathbf{v}}_{4c} + R_4 \dot{\boldsymbol{\omega}}_5 \times \mathbf{t}_3^5 + R_4 \boldsymbol{\omega}_5 \times (\boldsymbol{\omega}_5 \times \mathbf{t}_3^5) \quad (2.82)$$

$$\dot{\mathbf{v}}_5 = \dot{\mathbf{v}}_{45} + (\ell_5 - z_5) \dot{\boldsymbol{\omega}}_5 \times \mathbf{t}_3^5 + (\ell_5 - z_5) \boldsymbol{\omega}_5 \times (\boldsymbol{\omega}_5 \times \mathbf{t}_3^5) \quad (2.83)$$

$$\dot{\mathbf{v}}_{55} = \dot{\mathbf{v}}_5 + z_5 \dot{\boldsymbol{\omega}}_5 \times \mathbf{t}_3^5 + z_5 \boldsymbol{\omega}_5 \times (\boldsymbol{\omega}_5 \times \mathbf{t}_3^5) \quad (2.84)$$

where Equations (2.66)–(2.84) are the acceleration vectors of the corresponding position vectors in Equations (2.7)–(2.25). The following vectors are all of the required vectors to properly describe the kinematics of the TFP.

## 2.2 NORMAL FORCES

In order to look at the full kinetics of the TFP, all of the forces acting both internally and externally need to be fully described. The first set of forces that act on the TFP are the internal normal forces. From a moment balance, the normal forces will not necessarily be acting at the center point of the contact between bearings [Sarlis and Constantinou 2016], which requires another set of 1-2 Euler angles to define the location of each internal normal force. These Euler angles and their associated basis vectors shall be noted with a superscripted  $\tilde{\cdot}$ , such as  $\tilde{\psi}_1$  and  $\tilde{\mathbf{t}}_1^1$ . The basis vectors for each normal force position is given as

$$\tilde{\mathbf{t}}_i^1 = \tilde{\mathbf{R}}_1 \mathbf{t}_i^1 \quad \tilde{\mathbf{t}}_i^2 = \tilde{\mathbf{R}}_2 \mathbf{t}_i^2 \quad \tilde{\mathbf{t}}_i^3 = \tilde{\mathbf{R}}_3 \mathbf{t}_i^3 \quad \tilde{\mathbf{t}}_i^4 = \tilde{\mathbf{R}}_4 \mathbf{t}_i^4 \quad \tilde{\mathbf{t}}_i^5 = \tilde{\mathbf{R}}_5 \mathbf{t}_i^5 \quad (2.85)$$

where

$$\begin{aligned} \tilde{\mathbf{R}}_1 &= \mathbf{R}(\tilde{\psi}_1, \tilde{\theta}_1, \mathbf{t}_i^1) & \tilde{\mathbf{R}}_2 &= \mathbf{R}(\tilde{\psi}_2, \tilde{\theta}_2, \mathbf{t}_i^2) \\ \tilde{\mathbf{R}}_3 &= \mathbf{R}(\tilde{\psi}_3, \tilde{\theta}_3, \mathbf{t}_i^3) & \tilde{\mathbf{R}}_4 &= \mathbf{R}(\tilde{\psi}_4, \tilde{\theta}_4, \mathbf{t}_i^4) \end{aligned} \quad (2.86)$$

where  $\mathbf{R}$  has the same definition as in Equation (2.3). The position of each normal force is defined as

$$\tilde{\mathbf{r}}_1 = \mathbf{r}_{1c} - R_1 \tilde{\mathbf{t}}_3^1 \quad (2.87)$$

which is the position of the normal force on the sliding surface with radius  $R_1$ ,

$$\tilde{\mathbf{r}}_2 = \mathbf{r}_{2c} - R_2 \tilde{\mathbf{t}}_3^2 \quad (2.88)$$

which is the position of the normal force on the sliding surface with radius  $R_2$ ,

$$\tilde{\mathbf{r}}_3 = \mathbf{r}_{3c} + R_3 \tilde{\mathbf{t}}_3^3 \quad (2.89)$$

which is the position of the normal force on the sliding surface with radius  $R_3$ ,

$$\tilde{\mathbf{r}}_4 = \mathbf{r}_{4c} + R_4 \tilde{\mathbf{t}}_3^4 \quad (2.90)$$

which is the position of the normal force on the sliding surface with radius  $R_3$ . Finally, the normal forces are defined as

$$\mathbf{N}_1 = N_1 \tilde{\mathbf{t}}_3^1 \quad \mathbf{N}_2 = N_2 \tilde{\mathbf{t}}_3^2 \quad \mathbf{N}_3 = N_3 \tilde{\mathbf{t}}_3^3 \quad \mathbf{N}_4 = N_4 \tilde{\mathbf{t}}_3^4 \quad (2.91)$$

where  $N_\alpha$  are the magnitudes of the normal forces. This is all of the required information to define the internal normal forces.

### 2.3 FRICTION FORCES

The next set of forces acting on the TFP are the friction forces that act between bearings at each of the sliding surfaces. The friction forces act at the same locations as the normal forces, thus they will use the same set of basis vectors and Euler angles previously defined. The dynamic friction forces are in the plane normal to the normal forces and are defined as follows:

$$\mathbf{F}_{f1} = -\mu_1 N_1 \tilde{\mathbf{f}}_1 \quad \mathbf{F}_{f2} = -\mu_2 N_2 \tilde{\mathbf{f}}_2 \quad \mathbf{F}_{f3} = -\mu_3 N_3 \tilde{\mathbf{f}}_3 \quad \mathbf{F}_{f4} = -\mu_4 N_4 \tilde{\mathbf{f}}_4 \quad (2.92)$$

where  $\mu_\alpha$  are the coefficient of frictions for each pair of sliding surfaces, and the  $\tilde{\mathbf{f}}_\alpha$  vectors define the direction in which the friction forces act and are given by,

$$\begin{aligned} \tilde{\mathbf{f}}_1 &= Y_1 \tilde{\mathbf{t}}_1^1 + Z_1 \tilde{\mathbf{t}}_2^1 & \tilde{\mathbf{f}}_2 &= Y_2 \tilde{\mathbf{t}}_1^2 + Z_2 \tilde{\mathbf{t}}_2^2 \\ \tilde{\mathbf{f}}_3 &= Y_3 \tilde{\mathbf{t}}_1^3 + Z_3 \tilde{\mathbf{t}}_2^3 & \tilde{\mathbf{f}}_4 &= Y_4 \tilde{\mathbf{t}}_1^4 + Z_4 \tilde{\mathbf{t}}_2^4 \end{aligned} \quad (2.93)$$

where  $Y_\alpha$  and  $Z_\alpha$  are used to define the direction of the friction forces in the plane normal to the normal forces. These values are determined using a modified Bouc–Wen model for biaxial hysteresis [Park et al. 1986; Harvey and Gavin 2014], given as follows:

$$\begin{aligned} \dot{Y}_1 &= \frac{R_1}{R_0} \left[ (1 - a_1 Y_1^2) \tilde{u}_1 - b_1 Y_1 Z_1 \tilde{v}_1 \right] & a_1 &= \begin{cases} 1, & Y_1 \tilde{u}_1 > 0 \\ 0, & Y_1 \tilde{u}_1 \leq 0 \end{cases} \\ \dot{Z}_1 &= \frac{R_1}{R_0} \left[ (1 - b_1 Z_1^2) \tilde{v}_2 - a_1 Y_1 Z_1 \tilde{u}_1 \right] & b_1 &= \begin{cases} 1, & Z_1 \tilde{v}_1 > 0 \\ 0, & Z_1 \tilde{v}_1 \leq 0 \end{cases} \end{aligned} \quad (2.94)$$

$$\begin{aligned} \dot{Y}_2 &= \frac{R_2}{R_0} \left[ (1 - a_2 Y_2^2) \tilde{u}_2 - b_2 Y_2 Z_2 \tilde{v}_2 \right] & a_2 &= \begin{cases} 1, & Y_2 \tilde{u}_2 > 0 \\ 0, & Y_2 \tilde{u}_2 \leq 0 \end{cases} \\ \dot{Z}_2 &= \frac{R_2}{R_0} \left[ (1 - b_2 Z_2^2) \tilde{v}_2 - a_2 Y_2 Z_2 \tilde{u}_2 \right] & b_2 &= \begin{cases} 1, & Z_2 \tilde{v}_2 > 0 \\ 0, & Z_2 \tilde{v}_2 \leq 0 \end{cases} \end{aligned} \quad (2.95)$$

$$\begin{aligned} \dot{Y}_3 &= \frac{R_3}{R_0} \left[ (1 - a_3 Y_3^2) \tilde{u}_3 - b_3 Y_3 Z_3 \tilde{v}_3 \right] & a_3 &= \begin{cases} 1, & Y_3 \tilde{u}_3 > 0 \\ 0, & Y_3 \tilde{u}_3 \leq 0 \end{cases} \\ \dot{Z}_3 &= \frac{R_3}{R_0} \left[ (1 - b_3 Z_3^2) \tilde{v}_3 - a_3 Y_3 Z_3 \tilde{u}_3 \right] & b_3 &= \begin{cases} 1, & Z_3 \tilde{v}_3 > 0 \\ 0, & Z_3 \tilde{v}_3 \leq 0 \end{cases} \end{aligned} \quad (2.96)$$

$$\begin{aligned} \dot{Y}_4 &= \frac{R_4}{R_0} \left[ (1 - a_4 Y_4^2) \tilde{u}_4 - b_4 Y_4 Z_4 \tilde{v}_4 \right] & a_4 &= \begin{cases} 1, & Y_4 \tilde{u}_4 > 0 \\ 0, & Y_4 \tilde{u}_4 \leq 0 \end{cases} \\ \dot{Z}_4 &= \frac{R_4}{R_0} \left[ (1 - b_4 Z_4^2) \tilde{v}_4 - a_4 Y_4 Z_4 \tilde{u}_4 \right] & b_4 &= \begin{cases} 1, & Z_4 \tilde{v}_4 > 0 \\ 0, & Z_4 \tilde{v}_4 \leq 0 \end{cases} \end{aligned} \quad (2.97)$$

where  $R_0$  is the yield radius, and  $\tilde{u}_\alpha$  and  $\tilde{v}_\alpha$  are the orthogonal in-plane components of the relative velocity at the point where the friction forces act and are given by

$$\begin{aligned} \tilde{u}_1 &= \tilde{\mathbf{v}}_1 \cdot \tilde{\mathbf{t}}_1^1 & \tilde{v}_1 &= \tilde{\mathbf{v}}_1 \cdot \tilde{\mathbf{t}}_2^1 & \tilde{u}_2 &= \tilde{\mathbf{v}}_2 \cdot \tilde{\mathbf{t}}_1^2 & \tilde{v}_2 &= \tilde{\mathbf{v}}_2 \cdot \tilde{\mathbf{t}}_2^2 \\ \tilde{u}_3 &= \tilde{\mathbf{v}}_3 \cdot \tilde{\mathbf{t}}_1^3 & \tilde{v}_3 &= \tilde{\mathbf{v}}_3 \cdot \tilde{\mathbf{t}}_2^3 & \tilde{u}_4 &= \tilde{\mathbf{v}}_4 \cdot \tilde{\mathbf{t}}_2^4 & \tilde{v}_4 &= \tilde{\mathbf{v}}_4 \cdot \tilde{\mathbf{t}}_3^4 \end{aligned} \quad (2.98)$$

where  $\tilde{\mathbf{v}}_\alpha$  are the relative velocity vectors at the points where the friction forces act and are given by

$$\begin{aligned} \tilde{\mathbf{v}}_1 &= -R_1 (\boldsymbol{\omega}_2' - \boldsymbol{\omega}_1') \times \tilde{\mathbf{t}}_3^1 & \tilde{\mathbf{v}}_2 &= -R_2 (\boldsymbol{\omega}_3' - \boldsymbol{\omega}_2') \times \tilde{\mathbf{t}}_3^2 \\ \tilde{\mathbf{v}}_3 &= R_3 (\boldsymbol{\omega}_4' - \boldsymbol{\omega}_3') \times \tilde{\mathbf{t}}_3^3 & \tilde{\mathbf{v}}_4 &= R_4 (\boldsymbol{\omega}_5' - \boldsymbol{\omega}_4') \times \tilde{\mathbf{t}}_3^4 \end{aligned} \quad (2.99)$$

This gives all of the necessary information to fully define the friction forces.

## 2.4 CONTACT FORCES

The last set of forces acting on the TFP are the forces that occur when two bearings contact one another when the maximum sliding displacement has been reached for a given sliding surface. To model this force, a spring-damper system will be imposed at the contact point. First, the amount of relative sliding between bearings for each sliding surface is given as

$$\begin{aligned} s_1 &= R_1 \cos^{-1}(\mathbf{t}_3^1 \cdot \mathbf{t}_3^2) & s_2 &= R_2 \cos^{-1}(\mathbf{t}_3^2 \cdot \mathbf{t}_3^3) \\ s_3 &= R_3 \cos^{-1}(\mathbf{t}_3^3 \cdot \mathbf{t}_3^4) & s_4 &= R_4 \cos^{-1}(\mathbf{t}_3^4 \cdot \mathbf{t}_3^5) \end{aligned} \quad (2.100)$$

The gap functions are then defined as

$$g_1 = s_{c1} - s_1 \quad g_2 = s_{c2} - s_2 \quad g_3 = s_{c3} - s_3 \quad g_4 = s_{c4} - s_4 \quad (2.101)$$

where  $s_{c\alpha}$  is the maximum sliding displacement before contact. Thus, if  $g_\alpha$  is positive, there is no contact; if  $g_\alpha$  is negative, there is contact. The velocity gap is defined as

$$\gamma_1 = \dot{g}_1 \quad \gamma_2 = \dot{g}_2 \quad \gamma_3 = \dot{g}_3 \quad \gamma_4 = \dot{g}_4 \quad (2.102)$$

The magnitudes of the contact forces become

$$F_{c1} = \begin{cases} 0 & g_1 > 0 \\ k_{c1} g_1 + c_{c1} \gamma_1 & g_1 \leq 0 \end{cases} \quad (2.103)$$

$$F_{c2} = \begin{cases} 0 & g_2 > 0 \\ k_{c2} g_2 + c_{c2} \gamma_2 & g_2 \leq 0 \end{cases} \quad (2.104)$$

$$F_{c3} = \begin{cases} 0 & g_3 > 0 \\ k_{c3}g_3 + c_{c3}\dot{g}_3 & g_3 \leq 0 \end{cases} \quad (2.105)$$

$$F_{c4} = \begin{cases} 0 & g_4 > 0 \\ k_{c4}g_4 + c_{c4}\dot{g}_4 & g_4 \leq 0 \end{cases} \quad (2.106)$$

where  $k_{c\alpha}$  and  $c_{c\alpha}$  are the stiffness and damping constants for the contact forces, respectively. The contact forces are then given by

$$\mathbf{F}_{c1} = F_{c1}\bar{\mathbf{f}}_1 \quad \mathbf{F}_{c2} = F_{c2}\bar{\mathbf{f}}_2 \quad \mathbf{F}_{c3} = F_{c3}\bar{\mathbf{f}}_3 \quad \mathbf{F}_{c4} = F_{c4}\bar{\mathbf{f}}_4 \quad (2.107)$$

where the directions of the forces are given by

$$\begin{aligned} \bar{\mathbf{f}}_1 &= \frac{(\mathbf{t}_3^1 \cdot \mathbf{t}_1^2)\mathbf{t}_1^2 + (\mathbf{t}_3^1 \cdot \mathbf{t}_2^2)\mathbf{t}_2^2}{\sqrt{(\mathbf{t}_3^1 \cdot \mathbf{t}_1^2)^2 + (\mathbf{t}_3^1 \cdot \mathbf{t}_2^2)^2}} & \bar{\mathbf{f}}_2 &= \frac{(\mathbf{t}_3^2 \cdot \mathbf{t}_1^3)\mathbf{t}_1^3 + (\mathbf{t}_3^2 \cdot \mathbf{t}_2^3)\mathbf{t}_2^3}{\sqrt{(\mathbf{t}_3^2 \cdot \mathbf{t}_1^3)^2 + (\mathbf{t}_3^2 \cdot \mathbf{t}_2^3)^2}} \\ \bar{\mathbf{f}}_3 &= \frac{(\mathbf{t}_3^4 \cdot \mathbf{t}_1^3)\mathbf{t}_1^3 + (\mathbf{t}_3^4 \cdot \mathbf{t}_2^3)\mathbf{t}_2^3}{\sqrt{(\mathbf{t}_3^4 \cdot \mathbf{t}_1^3)^2 + (\mathbf{t}_3^4 \cdot \mathbf{t}_2^3)^2}} & \bar{\mathbf{f}}_4 &= \frac{(\mathbf{t}_3^5 \cdot \mathbf{t}_1^4)\mathbf{t}_1^4 + (\mathbf{t}_3^5 \cdot \mathbf{t}_2^4)\mathbf{t}_2^4}{\sqrt{(\mathbf{t}_3^5 \cdot \mathbf{t}_1^4)^2 + (\mathbf{t}_3^5 \cdot \mathbf{t}_2^4)^2}} \end{aligned} \quad (2.108)$$

The contact forces will act at the following positions:

$$\begin{aligned} \bar{\mathbf{r}}_1 &= r_2\bar{\mathbf{f}}_1 + p_2\mathbf{t}_3^2 + \mathbf{r}_{12} & \bar{\mathbf{r}}_2 &= r_3\bar{\mathbf{f}}_2 + p_3\mathbf{t}_3^3 + \mathbf{r}_{23} \\ \bar{\mathbf{r}}_3 &= r_3\bar{\mathbf{f}}_3 - p_3\mathbf{t}_3^3 + \mathbf{r}_{33} & \bar{\mathbf{r}}_4 &= r_4\bar{\mathbf{f}}_4 - p_4\mathbf{t}_3^4 + \mathbf{r}_{44} \end{aligned} \quad (2.109)$$

All of the required information needed to define the contact forces between the bearings has been now defined.

## 2.5 EQUATIONS OF MOTION

Now that all of the required kinematic and kinetic quantities have been defined, the equations of motion for the TFP can be established. In this model, it is assumed that the motion of the base bearing is fully prescribed, meaning  $u_{g1}$ ,  $u_{g2}$ ,  $u_{g3}$ ,  $\psi_1$ ,  $\theta_1$ , and  $\phi_1$ , and all required time derivatives are provided. It is also assumed that the force and moment on the top of the bearing— $\mathbf{F}_{\text{top}}$  and  $\mathbf{M}_{\text{top}}$ —are also provided. From a balance of linear momentum applied to each of the bearings, the following equations must be satisfied:

$$m_2\dot{\mathbf{v}}_2 = \mathbf{N}_1 + \mathbf{F}_{f1} + \mathbf{F}_{c1} - \mathbf{N}_2 - \mathbf{F}_{f2} - \mathbf{F}_{c2} - m_2g\mathbf{E}_3 \quad (2.110)$$

$$m_3\dot{\mathbf{v}}_3 = \mathbf{N}_2 + \mathbf{F}_{f2} + \mathbf{F}_{c2} - \mathbf{N}_3 - \mathbf{F}_{f3} - \mathbf{F}_{c3} - m_3g\mathbf{E}_3 \quad (2.111)$$

$$m_4\dot{\mathbf{v}}_4 = \mathbf{N}_3 + \mathbf{F}_{f3} + \mathbf{F}_{c3} - \mathbf{N}_4 - \mathbf{F}_{f4} - \mathbf{F}_{c4} - m_4g\mathbf{E}_3 \quad (2.112)$$

$$m_5\dot{\mathbf{v}}_5 = \mathbf{N}_4 + \mathbf{F}_{f4} + \mathbf{F}_{c4} + \mathbf{F}_{\text{top}} - m_5g\mathbf{E}_3 \quad (2.113)$$

where  $g$  is the gravitational acceleration, and  $m_\alpha$  is the mass of the bearing. Once the balance of angular momentum is applied to each bearing, the following equations must also be satisfied:

$$\begin{aligned} \mathbf{J}_2 \dot{\boldsymbol{\omega}}_2^t + \boldsymbol{\omega}_2^t \times \mathbf{J}_2 \boldsymbol{\omega}_2^t &= (\tilde{\mathbf{r}}_1 - \mathbf{r}_2) \times (\mathbf{N}_1 + \mathbf{F}_{f1}) - (\tilde{\mathbf{r}}_2 - \mathbf{r}_2) \times (\mathbf{N}_2 + \mathbf{F}_{f2}) \\ &+ (\bar{\mathbf{r}}_1 - \mathbf{r}_2) \times \mathbf{F}_{c1} - (\bar{\mathbf{r}}_2 - \mathbf{r}_2) \times \mathbf{F}_{c2} \end{aligned} \quad (2.114)$$

$$\begin{aligned} \mathbf{J}_3 \dot{\boldsymbol{\omega}}_3^t + \boldsymbol{\omega}_3^t \times \mathbf{J}_3 \boldsymbol{\omega}_3^t &= (\tilde{\mathbf{r}}_2 - \mathbf{r}_3) \times (\mathbf{N}_2 + \mathbf{F}_{f2}) - (\tilde{\mathbf{r}}_3 - \mathbf{r}_3) \times (\mathbf{N}_3 + \mathbf{F}_{f3}) \\ &+ (\bar{\mathbf{r}}_2 - \mathbf{r}_3) \times \mathbf{F}_{c2} - (\bar{\mathbf{r}}_3 - \mathbf{r}_3) \times \mathbf{F}_{c3} \end{aligned} \quad (2.115)$$

$$\begin{aligned} \mathbf{J}_4 \dot{\boldsymbol{\omega}}_4^t + \boldsymbol{\omega}_4^t \times \mathbf{J}_4 \boldsymbol{\omega}_4^t &= (\tilde{\mathbf{r}}_3 - \mathbf{r}_4) \times (\mathbf{N}_3 + \mathbf{F}_{f3}) - (\tilde{\mathbf{r}}_4 - \mathbf{r}_4) \times (\mathbf{N}_4 + \mathbf{F}_{f4}) \\ &+ (\bar{\mathbf{r}}_3 - \mathbf{r}_4) \times \mathbf{F}_{c3} - (\bar{\mathbf{r}}_4 - \mathbf{r}_4) \times \mathbf{F}_{c4} \end{aligned} \quad (2.116)$$

$$\begin{aligned} \mathbf{J}_5 \dot{\boldsymbol{\omega}}_5^t + \boldsymbol{\omega}_5^t \times \mathbf{J}_5 \boldsymbol{\omega}_5^t &= (\tilde{\mathbf{r}}_4 - \mathbf{r}_5) \times (\mathbf{N}_4 + \mathbf{F}_{f4}) + (\bar{\mathbf{r}}_4 - \mathbf{r}_5) \times \mathbf{F}_{c4} \\ &+ (\mathbf{r}_{55} - \mathbf{r}_5) \times \mathbf{F}_{\text{top}} + \mathbf{M}_{\text{top}} \end{aligned} \quad (2.117)$$

where  $\mathbf{J}_\alpha$  is the mass moment of inertia tensor for each of the bearings and is defined as

$$\mathbf{J}_\alpha = \sum_{i=1}^3 \lambda_i^\alpha \mathbf{t}_i^\alpha \otimes \mathbf{t}_i^\alpha \quad (2.118)$$

where  $\lambda_i^\alpha$  are the principal moments of inertia of each bearing.

Equations (2.110)–(2.117) provide 24 independent equations for the 24 unknowns, which are

$$\begin{array}{cccccccccccc} \ddot{\psi}_2 & \ddot{\theta}_2 & \ddot{\phi}_2 & \ddot{\psi}_3 & \ddot{\theta}_3 & \ddot{\phi}_3 & \ddot{\psi}_4 & \ddot{\theta}_4 & \ddot{\phi}_4 & \ddot{\psi}_5 & \ddot{\theta}_5 & \ddot{\phi}_5 \\ \tilde{\psi}_1 & \tilde{\theta}_1 & \tilde{\psi}_2 & \tilde{\theta}_2 & \tilde{\psi}_3 & \tilde{\theta}_3 & \tilde{\psi}_4 & \tilde{\theta}_4 & N_1 & N_2 & N_3 & N_4 \end{array} \quad (2.119)$$

Note that the equations are nonlinear in the unknowns and must be solved using an iterative solver such as Newton's method. After which, a time integrator such as the Runge–Kutta methods can be used to solve for the time history of the TFP.



### 3 Expanding to MSFPs

The previous chapter defined the equations of motion for the TFP. Now those equations can be expanded to MSFPs with any number of bearings. Assume one has a MSFP with  $n$  bearings, which means there are  $n-1$  sliding surfaces., and of those  $n-1$  sliding surfaces,  $m$  are concave up and  $p = n-1-m$  are concave down. Let  $\alpha$  be a counting parameter that runs from 1 to  $m$ ; let  $\beta$  be another counting parameter that runs from  $m+1$  to  $n-1$ ; and let  $\gamma$  be a third counting parameter that runs from 1 to  $n-1$ . Note that for the TFP of the previous chapter,  $n = 5$ ,  $m = 2$ , and  $p = 2$ ; thus  $\alpha = 1, 2$ ,  $\beta = 3, 4$ , and  $\gamma = 1, 2, 3, 4$ . Using the previous definitions, all of the necessary equations to describe the motion of an MSFP can be written in compact form. The position vectors become

$$\mathbf{r}_{01} = u_{g1}\mathbf{E}_1 + u_{g2}\mathbf{E}_2 + u_{g3}\mathbf{E}_3 \quad (3.1)$$

$$\mathbf{r}_1 = \mathbf{r}_{01} + z_1\mathbf{t}_3^1 \quad (3.2)$$

$$\mathbf{r}_{11} = \mathbf{r}_1 + (\ell_1 - z_1)\mathbf{t}_3^1 \quad (3.3)$$

$$\mathbf{r}_{\alpha c} = \mathbf{r}_{\alpha,\alpha} + R_\alpha\mathbf{t}_3^\alpha \quad (3.4)$$

$$\mathbf{r}_{\alpha,\alpha+1} = \mathbf{r}_{\alpha c} - R_\alpha\mathbf{t}_3^{\alpha+1} \quad (3.5)$$

$$\mathbf{r}_{\alpha+1} = \mathbf{r}_{\alpha,\alpha+1} + z_{\alpha+1}\mathbf{t}_3^{\alpha+1} \quad (3.6)$$

$$\mathbf{r}_{\alpha+1,\alpha+1} = \mathbf{r}_{\alpha+1} + (\ell_{\alpha+1} - z_{\alpha+1})\mathbf{t}_3^{\alpha+1} \quad (3.7)$$

$$\mathbf{r}_{\beta c} = \mathbf{r}_{\beta,\beta} - R_\beta\mathbf{t}_3^\beta \quad (3.8)$$

$$\mathbf{r}_{\beta,\beta+1} = \mathbf{r}_{\beta c} + R_\beta\mathbf{t}_3^{\beta+1} \quad (3.9)$$

$$\mathbf{r}_{\beta+1} = \mathbf{r}_{\beta,\beta+1} + (\ell_{\beta+1} - z_{\beta+1})\mathbf{t}_3^{\beta+1} \quad (3.10)$$

$$\mathbf{r}_{\beta+1,\beta+1} = \mathbf{r}_{\beta+1} + z_{\beta+1}\mathbf{t}_3^{\beta+1} \quad (3.11)$$

where all position vectors here have similar physical representations as those from Equations (2.7)–(2.25). Similar representations exist for the velocity and acceleration vectors. The angular velocity vectors become

$$\begin{aligned}\boldsymbol{\omega}_1 &= \dot{\theta}_1 \mathbf{t}_2^1 + \dot{\psi}_1 \mathbf{E}_1 & \boldsymbol{\omega}'_1 &= \dot{\phi}_1 \mathbf{t}_2^1 + \boldsymbol{\omega}_1 \\ \boldsymbol{\omega}_{\gamma+1} &= \dot{\theta}_{\gamma+1} \mathbf{t}_2^{\gamma+1} + \dot{\psi}_{\gamma+1} \mathbf{t}_1^\gamma + \boldsymbol{\omega}_\gamma & \boldsymbol{\omega}'_{\gamma+1} &= \dot{\phi}_{\gamma+1} \mathbf{t}_3^{\gamma+1} + \boldsymbol{\omega}_{\gamma+1}\end{aligned}\quad (3.12)$$

where the angular velocity vectors have similar physical meaning as the corresponding vectors from Equations (2.26)–(2.35). Similar representations exist for the angular acceleration vectors. The normal force bases become

$$\tilde{\mathbf{t}}_\gamma^i = \tilde{\mathbf{R}}_\gamma \mathbf{t}_\gamma^i \quad (3.13)$$

with the normal forces acting at the following positions:

$$\tilde{\mathbf{r}}_\alpha = \mathbf{r}_{\alpha c} - R_\alpha \tilde{\mathbf{t}}_3^\alpha \quad \tilde{\mathbf{r}}_\beta = \mathbf{r}_{\beta c} - R_\beta \tilde{\mathbf{t}}_3^\beta \quad (3.14)$$

The normal forces are then given as

$$\tilde{\mathbf{N}}_\gamma = N_\gamma \tilde{\mathbf{t}}_3^\gamma \quad (3.15)$$

Next, the friction forces become

$$\mathbf{F}_{f\gamma} = -\mu_\gamma N_\gamma \tilde{\mathbf{f}}_\gamma \quad (3.16)$$

where the direction of the friction forces comes from

$$\tilde{\mathbf{f}}_\gamma = Y_\gamma \tilde{\mathbf{t}}_1^\gamma + Z_\gamma \tilde{\mathbf{t}}_2^\gamma \quad (3.17)$$

and

$$\begin{aligned}\dot{Y}_\gamma &= \frac{R_\gamma}{R_0} \left[ (1 - a_\gamma Y_\gamma^2) \tilde{u}_\gamma - b_\gamma Y_\gamma Z_\gamma \tilde{v}_\gamma \right] & a_\gamma &= \begin{cases} 1, & Y_\gamma \tilde{u}_\gamma > 0 \\ 0, & Y_\gamma \tilde{u}_\gamma \leq 0 \end{cases} \\ \dot{Z}_\gamma &= \frac{R_\gamma}{R_0} \left[ (1 - b_\gamma Z_\gamma^2) \tilde{v}_\gamma - a_\gamma Y_\gamma Z_\gamma \tilde{u}_\gamma \right] & b_\gamma &= \begin{cases} 1, & Z_\gamma \tilde{v}_\gamma > 0 \\ 0, & Z_\gamma \tilde{v}_\gamma \leq 0 \end{cases}\end{aligned}\quad (3.18)$$

The relative velocity at the point that the friction forces act is then defined as

$$\tilde{\mathbf{v}}_\alpha = -R_\alpha (\boldsymbol{\omega}'_{\alpha+1} - \boldsymbol{\omega}'_\alpha) \times \tilde{\mathbf{t}}_3^\alpha \quad \tilde{\mathbf{v}}_\beta = R_\beta (\boldsymbol{\omega}'_{\beta+1} - \boldsymbol{\omega}'_\beta) \times \tilde{\mathbf{t}}_3^\beta \quad (3.19)$$

The sliding displacements and gap functions for each sliding surface become

$$s_\gamma = R_\gamma \cos^{-1}(\mathbf{t}_3^\gamma \cdot \mathbf{t}_3^{\gamma+1}) \quad (3.20)$$

and

$$\mathcal{g}_\gamma = s_{c\gamma} - s_\gamma \quad (3.21)$$

which makes the contact forces

$$\mathbf{F}_{c\gamma} = F_{c\gamma} \bar{\mathbf{f}}_\gamma \quad (3.22)$$

where the magnitudes of the contact forces are given by



$$F_{c\gamma} = \begin{cases} 0 & \mathbf{g}_\gamma > \mathbf{0} \\ k_{c\gamma}\mathbf{g}_\gamma + c_{c\gamma}\dot{\gamma}_\gamma & \mathbf{g}_\gamma \leq \mathbf{0} \end{cases} \quad (3.23)$$

and the directions of the contact forces are given by

$$\bar{\mathbf{f}}_\alpha = \frac{(\mathbf{t}_3^\alpha \cdot \mathbf{t}_1^{\alpha+1})\mathbf{t}_1^{\alpha+1} + (\mathbf{t}_3^\alpha \cdot \mathbf{t}_2^{\alpha+1})\mathbf{t}_2^{\alpha+1}}{\sqrt{(\mathbf{t}_3^\alpha \cdot \mathbf{t}_1^{\alpha+1})^2 + (\mathbf{t}_3^\alpha \cdot \mathbf{t}_2^{\alpha+1})^2}} \quad \bar{\mathbf{f}}_\beta = \frac{(\mathbf{t}_3^{\beta+1} \cdot \mathbf{t}_1^\beta)\mathbf{t}_1^\beta + (\mathbf{t}_3^{\beta+1} \cdot \mathbf{t}_2^\beta)\mathbf{t}_2^\beta}{\sqrt{(\mathbf{t}_3^{\beta+1} \cdot \mathbf{t}_1^\beta)^2 + (\mathbf{t}_3^{\beta+1} \cdot \mathbf{t}_2^\beta)^2}} \quad (3.24)$$

The contact forces will act at the following positions:

$$\bar{\mathbf{r}}_\alpha = r_{\alpha+1}\bar{\mathbf{f}}_\alpha + p_{\alpha+1}\mathbf{t}_3^{\alpha+1} + \mathbf{r}_{\alpha,\alpha+1} \quad \bar{\mathbf{r}}_\beta = r_\beta\bar{\mathbf{f}}_\beta - p_\beta\mathbf{t}_3^\beta + \mathbf{r}_{\beta,\beta} \quad (3.25)$$

Finally, the equations of motion become

$$m_{\gamma+1}\dot{\mathbf{v}}_{\gamma+1} = \mathbf{N}_\gamma + \mathbf{F}_{f\gamma} + \mathbf{F}_{c\gamma} - \mathbf{N}_{\gamma+1} - \mathbf{F}_{f\gamma+1} - \mathbf{F}_{c\gamma+1} - m_{\gamma+1}\mathbf{g}\mathbf{E}_3 \quad (3.26)$$

and

$$\begin{aligned} \mathbf{J}_{\gamma+1}\dot{\boldsymbol{\omega}}'_{\gamma+1} + \boldsymbol{\omega}'_{\gamma+1} \times \mathbf{J}_{\gamma+1}\boldsymbol{\omega}'_{\gamma+1} &= (\tilde{\mathbf{r}}_\gamma - \mathbf{r}_{\gamma+1}) \times (\mathbf{N}_\gamma + \mathbf{F}_{f\gamma}) - (\tilde{\mathbf{r}}_{\gamma+1} - \mathbf{r}_{\gamma+1}) \times (\mathbf{N}_{\gamma+1} + \mathbf{F}_{f\gamma+1}) \\ &\quad + (\bar{\mathbf{r}}_\gamma - \mathbf{r}_{\gamma+1}) \times \mathbf{F}_{c\gamma} - (\bar{\mathbf{r}}_{\gamma+1} - \mathbf{r}_{\gamma+1}) \times \mathbf{F}_{c\gamma+1} \end{aligned} \quad (3.27)$$

except that the top bearing as a modified set of equations to account for the applied force and moment— $\mathbf{F}_{\text{top}}$  and  $\mathbf{M}_{\text{top}}$ —on the top bearing, given as

$$m_n\dot{\mathbf{v}}_n = \mathbf{N}_{n-1} + \mathbf{F}_{fn-1} + \mathbf{F}_{cn-1} + \mathbf{F}_{\text{top}} - m_n\mathbf{g}\mathbf{E}_3 \quad (3.28)$$

and

$$\begin{aligned} \mathbf{J}_n\dot{\boldsymbol{\omega}}_n + \boldsymbol{\omega}_n \times \mathbf{J}_n\boldsymbol{\omega}_n &= (\tilde{\mathbf{r}}_{n-1} - \mathbf{r}_n) \times (\mathbf{N}_{n-1} + \mathbf{F}_{fn-1}) + (\bar{\mathbf{r}}_{n-1} - \mathbf{r}_n) \times \mathbf{F}_{cn-1} \\ &\quad + (\mathbf{r}_{n,n} - \mathbf{r}_n) \times \mathbf{F}_{\text{top}} + \mathbf{M}_{\text{top}} \end{aligned} \quad (3.29)$$

Using the above listed definitions, one can readily establish the equations of motion for any basic type of MSFP.



## 4 Analysis of the Triple Friction Pendulum Model

In order to test the effectiveness of this model for MSFPs, the analysis will focus on the TFP as there are many experimental and theoretical results for that system [Fenz and Constantinou 2008c; Fenz and Constantinou 2008b; Becker and Mahin 2012; and Sarlis and Constantinou 2016]. Due to the nonlinearity of the equations of motion for the TFP— see Equations (2.110)–(2.117)—an iterative solver must be utilized to solve for the unknowns shown in Equation (2.119). Herein, Newton’s method is used to solve for the unknowns. Once the system unknowns have been determined, a system of ODEs has to be solved to obtain the time-history of the TFP. The time integrator used for all of the analyses will be the Dormand–Prince method [1980], which is a type of Runge–Kutta ODE solver. All of the physical quantities used for the following analysis can be found in Appendix A. For all the simulations, a ground motion will be prescribed for the bottom bearing and a normal force, a restoring force, and a restoring moment will be applied to the top bearing unless otherwise stated.

### 4.1 UNI-DIRECTIONAL GROUND MOTIONS

For the uni-directional ground motions, a model based on the one used by Fenz and Constantinou [2008b] will be used for comparisons; all of the physical data can be found in Appendix A.1. For standard models of TFPs— $R_1 = R_4 > R_2 = R_3$ ,  $\mu_2 = \mu_3 < \mu_1 < \mu_4$ — it has been well established that there are five stages to the motion [Fenz and Constantinou 2008c; Fenz and Constantinou 2008b; Becker and Mahin 2012; and Sarlis and Constantinou 2016] when there is a ground motion in only one direction:

- **Stage I:** There is motion only on surfaces 2 and 3.
- **Stage II:** Motion on surface 2 stops and begins on surface 1, thus all motion is on surfaces 1 and 3.
- **Stage III:** Motion on surface 3 stops and begins on surface 4, thus all motion is on surfaces 1 and 4.
- **Stage IV:** The sliding capacity of surface 1 is reached and sliding begins on surface 2, thus all motion is on surfaces 2 and 4.

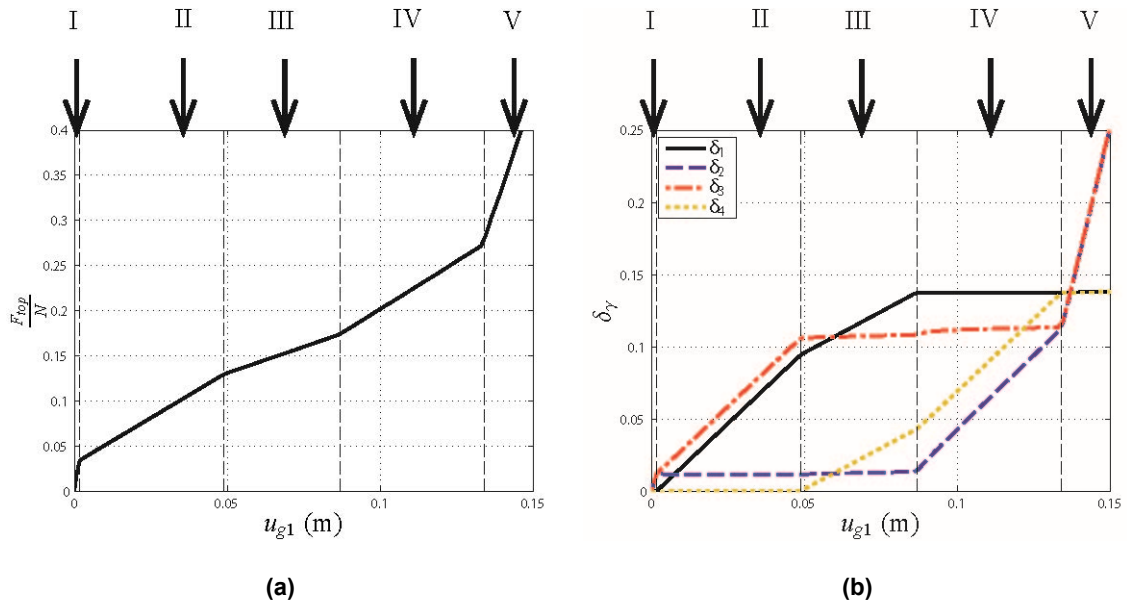
- **Stage V:** The sliding capacity of surface 4 is reached and sliding begins on surface 3, thus all motion is on surfaces 3 and 4. This stage ends when the sliding capacities of both surfaces 2 and 3 are reached.

By running our kinetic model with a uni-directional motion— $u_{g1} = 0.05t^2m$  and all other prescribed motions set to zero—and imposing a restoring force and moment on the top bearing, this five-stage behavior is created as shown in Figure 4.1; here,  $F_{top}/N$  is the restoring force on the top bearing,  $F_{top}$ , normalized to the applied normal force,  $N$ . Note that the relative angle between bearings is defined as  $\delta_\gamma = \cos^{-1}[\cos(\psi_{\gamma+1})\cos(\theta_{\gamma+1})]$ .

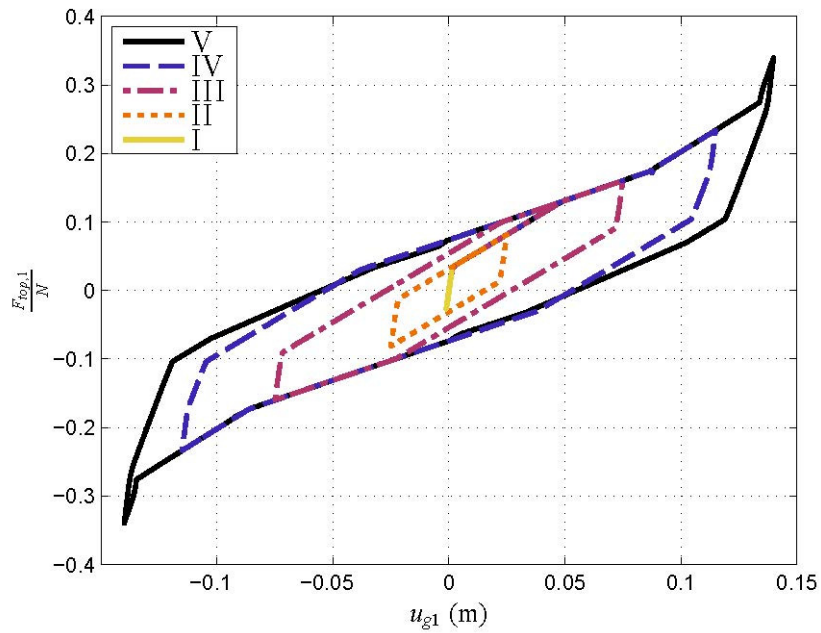
In order to test the hysteresis in this model, a uni-directional periodic ground motion— $u_{g1} = u_{g1} = A\cos(2\pi ft)m$ —is applied to the system. The same tests used by Fenz and Constantinou [2008b] will be used in this section, which will allow for a direct comparison of results. Table 4.1 shows the list of tests. The force-displacement curves are shown in Figure 4.2. By comparing them to similar figures in Fenz and Constantinou [2008b; 2008c], it can be seen that the kinetic model has the appropriate hysteresis behavior. Regarding the analytical models developed by Fenz and Constantinou [2008b], they note that their forces on the top bearing when the first and fourth sliding surfaces reach their limits— $F_{dr1}$  and  $F_{dr4}$ —underestimate the force recorded from the experiment. The values for  $u^*$ ,  $u^{**}$ ,  $u_{dr1}$ ,  $u_{dr4}$ ,  $F_{dr1}$  and  $F_{dr4}$  are shown in Table 4.2, where  $u^*$  is the displacement at which the TFP transitions from Stage I to Stage II,  $u^{**}$  is the displacement at which the TFP transitions from Stage II to Stage III,  $u_{dr1}$  is the displacement at which the TFP transitions from Stage III to Stage IV,  $u_{dr4}$  is the displacement at which the TFP transitions from Stage IV to Stage V,  $F_{dr1}$  is the force at which the TFP transitions from Stage III to Stage IV, and  $F_{dr4}$  is the force at which the TFP transitions from Stage IV to Stage V [Fenz and Constantinou 2008c].

**Table 4.1 Tests used for uni-directional ground motions.**

Test No.	$N$ (kN)	$f$ (Hz)	$A$ (mm)
1	112	0.10	1.2
2	112	0.04	25
3	112	0.013	75
4	112	0.0088	115
5	112	0.0072	140



**Figure 4.1** (a) Force/displacement curve for the TFP for a uni-directional motion; and (b) relative angle of each bearing for a uni-directional motion.



**Figure 4.2** Hysteresis loops for uni-directional motions for ground motions in the five stages of motion.

**Table 4.2 Comparison of analytical model, experimental, and kinetic model values**

	Analytical <sup>†</sup>	Experimental <sup>†</sup>	Kinetic model
$u^*$ (mm)	0.1	2	1.9
$u^{**}$ (mm)	38.4	42	49
$u_{dr1}$ (mm)	92.1	90	87
$u_{dr4}$ (mm)	130.4	130	134
$F_{dr1}/N$	0.161	0.173	0.175
$F_{dr4}/N$	0.240	0.272	0.275

<sup>†</sup> Analytical and experimental values come from the Regime V Data from Fenz and Constantinou (2008b).

From Table 4.2 it can be seen that the kinetic model matches all of these values fairly well. Although the kinetic model slightly overestimates  $F_{dr1}$  and  $F_{dr4}$ , it is still much closer to the experimental values than the analytical values. The overestimation of  $F_{dr4}$  is most likely due to using a constant set of  $\mu_\gamma$  values as opposed to changing the friction coefficients with different stages. Thus, it is shown that for larger displacements, the kinetic model more accurately predicts the response of an actual TFP because there is no linearization approximation, which becomes less accurate as the amplitude of motion increases.

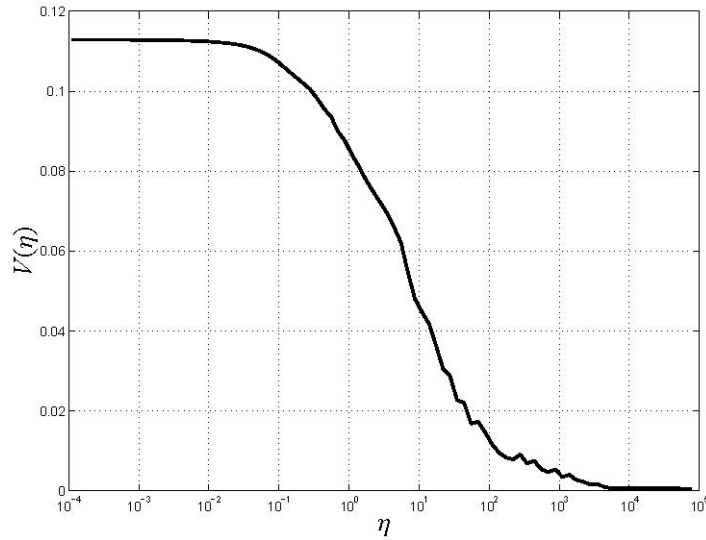
For all of the previous tests, a normal force of  $N = 112$  kN was used. However, it is useful to see how changing  $N$  affects the dynamic response of the TFP, as this will give a sense of the inertial effects of the model. To do this, the variance between two tests will be measured with an  $L^2$ -norm [Johnson 2009] given by

$$V(\eta) = \frac{\sqrt{\int_0^{u_{\max}} \|\mathbf{d} - \mathbf{d}_{ref}\|^2}}{\sqrt{\int_0^{u_{\max}} \|\mathbf{d}_{ref}\|^2}} \quad (4.1)$$

where  $V(\eta)$  is the variance as a function of  $\eta$ ;  $\eta$  is the ratio of the applied normal force to the weight of the TFP,  $W$ , excluding the bottom bearing,  $u_{\max}$  is the maximum applied ground displacement, and  $\mathbf{d}$  is given as

$$\mathbf{d} = [\delta_1 \quad \delta_2 \quad \delta_3 \quad \delta_4]^T \quad (4.2)$$

$\mathbf{d}_{ref}$  is the test with the largest normal force,  $N_{\max}$ , to which all other tests will be compared. Herein,  $N_{\max} = 1$  MN, and  $W = 90.64$ N. Note that  $\|\bullet\|$  is the standard 2-norm.

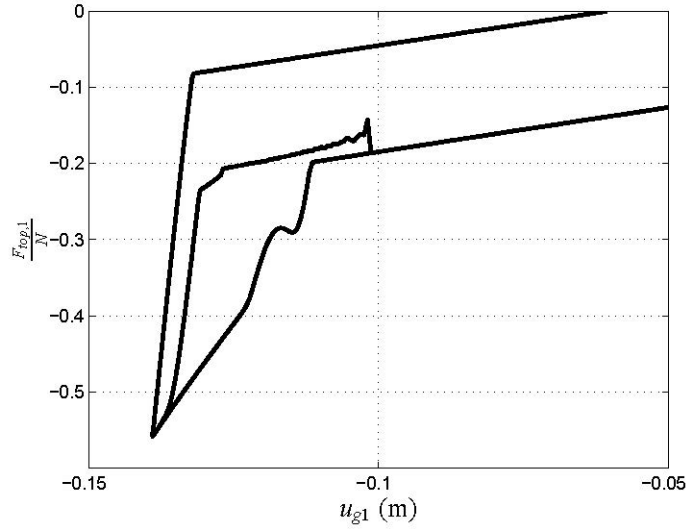


**Figure 4.3** The variance between two tests as a function of  $\eta$  on a semi-log scale.

The variance  $V(\eta)$  is shown in Figure 4.3, in which it can be seen that the variance is very small for large values of  $\eta$ , but as  $\eta$  decreases, the variance increases until it reaches a plateau around  $\eta = 10^{-1}$ . Typically values for  $\eta$  will be very large in practice, meaning that the inertial effects can be neglected; however, in the event of uplift [Sarlis and Constantinou 2013], part of the TFP will experience no normal force or  $N = \eta = 0$ . As can be gleaned from Figure 4.3, in that scenario the inertial effects will play a role.

## 4.2 UNI-DIRECTIONAL GROUND MOTIONS FOR UNUSUAL TFP PROPERTIES

Another utility of the model presented herein is the lack of assumptions used to develop the model. This allows for new and unique TFPs to be analyzed by this model. For example, the unusual TFP described in Sarlis and Constantinou [2016] has  $\mu_2 = \mu_3 > \mu_1 = \mu_4$ . While a TFP with this property cannot be analyzed properly by the models presented by Fenz and Constantinou [2008c] or that of Becker and Mahin [2012], it can be analyzed by the nonlinear kinetic model presented herein. Using the same physical properties described in Appendix A.1, except that  $\mu_1 = \mu_4 = 0.064$  and  $\mu_2 = \mu_3 = 0.168$ , the kinetic model can be tested against the results found by Sarlis and Constantinou [2016] by applying a uni-directional ground motion of  $u_{g1} = A \cos(2\pi ft)$ , where  $A = 0.14m$  and  $f = 0.02$  Hz. Figure 4.4 shows the force-displacement curve for the unusual TFP. By comparing Figure 4.4 to similar figures in Sarlis and Constantinou [2016], it can be seen that the kinetic model accurately models the behavior of the unusual TFP.



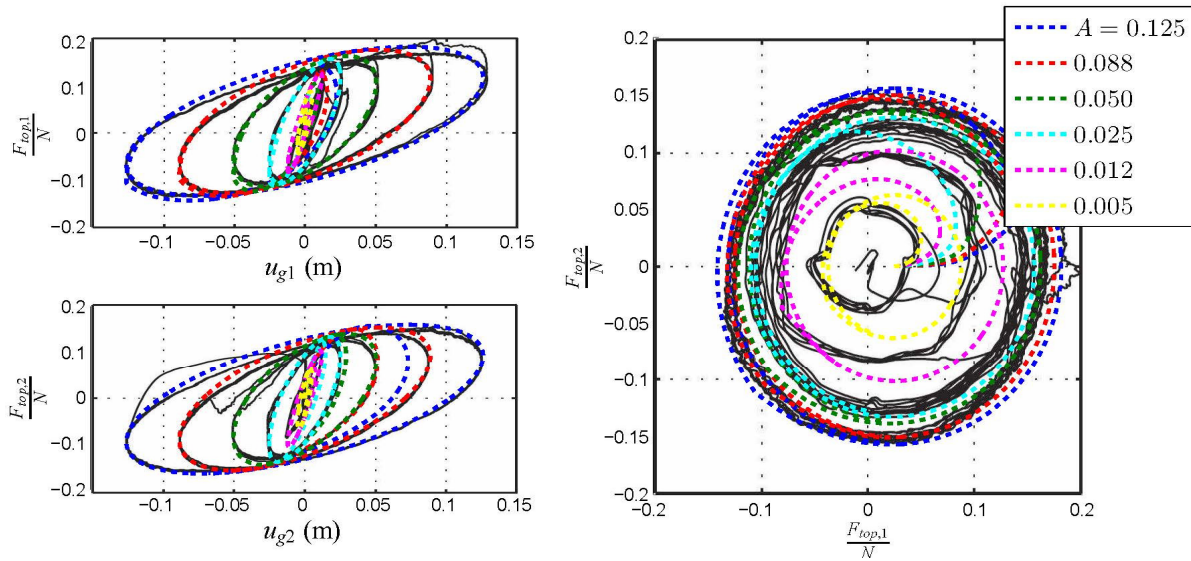
**Figure 4.4** Force/displacement curve for the unusual TFP.

### 4.3 BI-DIRECTIONAL GROUND MOTIONS

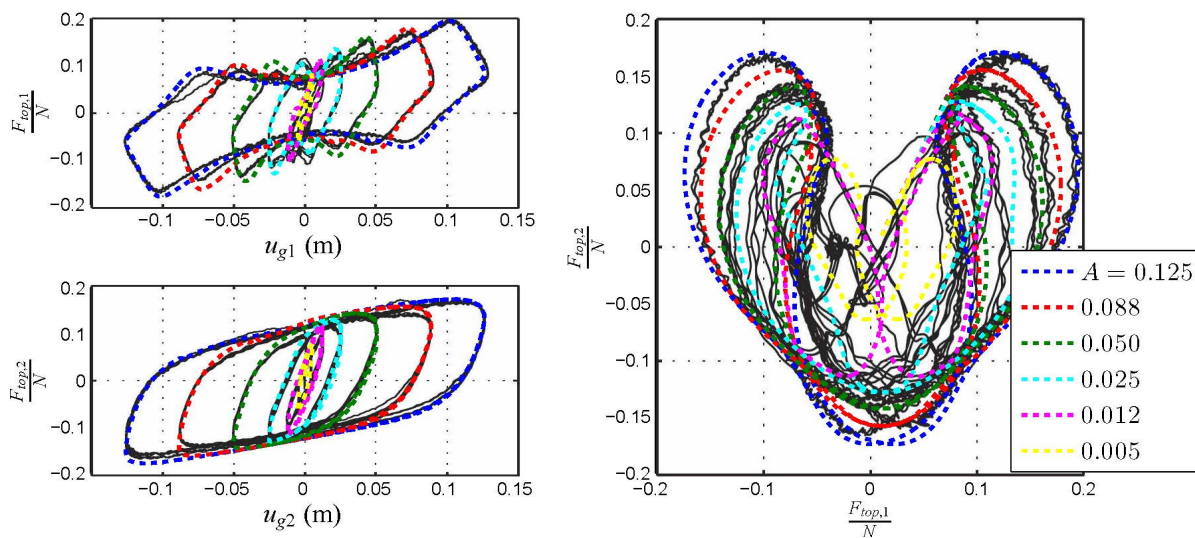
Next, the kinetic model is tested with bi-directional ground motions. In the case of bi-directional ground motions, a model based on Becker and Mahin [2012] is used for comparison, and all of the necessary physical data can be found in Appendix A.2. The first test is a circular ground motion:  $u_{g1} = A \cos(\Omega t)$  and  $u_{g2} = A \sin(\Omega t)$ ; all of prescribed motions are set to zero. For the circular ground motion tests,  $\Omega = 0.1$  rad/sec is used, along with six values for  $A$ :  $A = 0.125, 0.088, 0.050, 0.025, 0.012, 0.005$  m. This gives a basic ground motion to make sure that the kinetic model has the proper hysteresis loops as the bearings move in two directions. Figure 4.5 shows the hysteresis loops for both the E1 and E2 directions as well as the force curves on the top bearing when a circular ground motion is applied. By comparing these curves to similar ones by Becker and Mahin [2012], also shown in Figure 4.5, it can be seen that the kinetic model is acting appropriately for a simple bi-directional ground motion.

Next, a more complicated ground motion, that of a figure-eight, is applied:  $u_{g1} = A \cos(\Omega t)$  and  $u_{g2} = A \sin(2\Omega t)$ ; all other prescribed motions set to zero. Again,  $\Omega = 0.1$  is used, along with the same six values of  $A$  as for the circular motion:  $A = 0.125, 0.088, 0.050, 0.025, 0.012, 0.005$  m. Figure 4.6 shows the hysteresis loops for both the E1 and E2 directions as well as the force curves on the top bearing when a figure-eight ground motion is applied. By comparing these curves to similar curves by Becker and Mahin [2012], also shown in Figure 4.6, it can be seen that the kinetic model is accurately predicting the behavior of the TFP for this complicated ground motion.





**Figure 4.5** Hysteresis loops and force curves for a circular ground motion. The solid black curves in the background are experimental data from Becker and Mahin [2012].



**Figure 4.6** Hysteresis loops and force curves for a figure-eight ground motion. The solid black curves in the background are experimental data from Becker and Mahin [2012].



## 5 Conclusions and Future Work

Previously developed kinetic models of triple friction pendulum (TFP) bearings, e.g., Sarlis and Constantinou [2016], linearize the TFP, thereby reducing the accuracy for larger displacements. In addition, they are limited to modeling uni-directional ground motions and are unable to account for the more complicated bi-directional ground motions. Other models account for bi-directional ground motion [Becker and Mahin 2012], but they linearize the model lack the capability to handle non-standard TFP bearings [Sarlis and Constantinou 2013]. The model presented herein accounts for both uni-directional and bi-directional ground motions with no linearization assumption. Thus in the case of uni-directional ground motions, it was shown that the nonlinear model can more accurately predict the experimental values than previous analytical models. The only assumption that our nonlinear kinetic model makes is that the bearings are axisymmetric. Therefore, this model can be used to analyze the simplest as well as the most complicated ground motions. While not specifically analyzed in this paper, the nonlinear kinetic model can account for initial rotations of the top and bottom bearings similar to that described in Becker and Mahin [2013b]. The nonlinear kinetic model has the capability to be connected numerically to models of different superstructures, such as frames, trusses, or any type of finite element model. This allows one to model an entire system, including the TFP in one complete simulation, while accounting for the nonlinear and inertial nature of the TFP.

The model presented herein is not entirely complete: only constant values of the friction coefficients,  $\mu_\gamma$ , were used. Kumar et al. [2015] have demonstrated that these values are dependent on multiple factors such as speed, temperature, and pressure; however, implementing these more complicated friction coefficients is a straight forward process that can be added to this model. The nonlinear kinetic model does not account for uplift or tilting of bearings, yet that behavior has been shown to occur in experiments of TFPs [Sarlis and Constantinou 2013]. Our model, however, can be equipped to handle uplift or tilting by adding the necessary degrees-of-freedom to Equation (2.119). It was also shown that the inertial effects of the bearing will have a major role in the event of uplift, thus starting from a model that already incorporates inertia will make handling uplift less complicated. Finally, all of the previous analyses can be conducted with any type of MSFP by the method presented earlier in this paper, allowing for more complicated testing of different types of Friction Pendulum seismic isolators.

For all of the reasons previously stated, the model presented in this paper is an all-in-one model that is the most capable and accurate model for MSFPs available and can be easily updated to handle different types of friction models as well as extra forces that may be applied to the internal bearings.



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# Appendix A Physical Data

The following tables provide all of the numerical values used throughout report, along with  $g = 9.8 \text{ m/sec}^2$

## A.1 UNI-DIRECTIONAL GROUND MOTIONS

All values chosen for uni-directional ground motions were based on the data provided by Fenz and Constantinou [2008a; 2008b] in order to allow for direct comparison of results.

**Table A.1 All lengths used for uni-directional ground motions.**

$R_1$	$R_2$	$R_3$	$R_4$	$R_0$	$r_2$	$r_3$	$r_4$
0.473 m	0.076 m	0.076 m	0.473 m	$5 \times 10^{-5} \text{ m}$	0.051 m	0.0255 m	0.051 m
$\ell_1$	$\ell_2$	$\ell_3$	$\ell_4$	$\ell_5$	$p_2$	$p_3$	$p_4$
0.013 m	0.015 m	0.046 m	0.015 m	0.013 m	0.0028 m	0.0044 m	0.0028 m
$z_2$	$z_3$	$z_4$	$z_5$	$s_{c1}$	$s_{c2}$	$s_{c3}$	$s_{c4}$
0.0075 m	0.023 m	0.0075 m	0.0065 m	0.065 m	0.0215 m	0.0215 m	0.065 m

**Table A.2 All masses used for uni-directional ground motions.**

$m_2$	$m_3$	$m_4$	$m_5$
0.45 kg	0.34 kg	0.45 kg	8.0 kg

**Table A.3 All inertias used for uni-directional ground motions.**

$\mathbf{J}_2$	$\mathbf{J}_3$
$\begin{bmatrix} 3.01 & 0 & 0 \\ 0 & 3.01 & 0 \\ 0 & 0 & 5.85 \end{bmatrix}_{t_i^2 \otimes t_j^2} \times 10^{-4} \text{ kg} \cdot \text{m}^2$	$\begin{bmatrix} 1.15 & 0 & 0 \\ 0 & 1.15 & 0 \\ 0 & 0 & 1.11 \end{bmatrix}_{t_i^3 \otimes t_j^3} \times 10^{-4} \text{ kg} \cdot \text{m}^2$
$\mathbf{J}_4$	$\mathbf{J}_5$
$\begin{bmatrix} 3.01 & 0 & 0 \\ 0 & 3.01 & 0 \\ 0 & 0 & 5.85 \end{bmatrix}_{t_i^4 \otimes t_j^4} \times 10^{-4} \text{ kg} \cdot \text{m}^2$	$\begin{bmatrix} 3.14 & 0 & 0 \\ 0 & 3.14 & 0 \\ 0 & 0 & 6.25 \end{bmatrix}_{t_i^5 \otimes t_j^5} \times 10^{-2} \text{ kg} \cdot \text{m}^2$

**Table A.4 All stiffnesses and damping constants used for uni-directional ground motions.**

$k_{c\gamma}$	$k_{\text{top}}$	$c_{c\gamma}$	$c_{\text{top}}$	$\mu_1$	$\mu_2$	$\mu_3$	$\mu_4$
$10^7 \text{ N/m}$	$10^6 \text{ N/m}$	$5 \text{ N sec/m}$	$5 \text{ N} \cdot \text{sec/m}$	0.03	0.017	0.017	0.107

## A.2 BI-DIRECTIONAL GROUND MOTIONS

All values chosen for bi-directional ground motions were based on the data provided by Becker and Mahin [2012] in order to allow for direct comparison of results.

**Table A.5 All lengths used for bi-directional ground motions.**

$R_1$	$R_2$	$R_3$	$R_4$	$R_0$	$r_2$	$r_3$	$r_4$
0.9906 m	0.0762 m	0.0762 m	0.9906 m	$5 \times 10^{-5} \text{ m}$	0.0381 m	0.0191 m	0.0381 m
$\ell_1$	$\ell_2$	$\ell_3$	$\ell_4$	$\ell_5$	$p_2$	$p_3$	$p_4$
0.011 m	0.0127 m	0.0254 m	0.0127 m	0.011 m	0.00073 m	0.0024 m	0.00073 m
$z_2$	$z_3$	$z_4$	$z_5$	$s_{c1}$	$s_{c2}$	$s_{c3}$	$s_{c4}$
0.0063 m	0.0127 m	0.0063 m	0.0055 m	0.0918 m	0.0135 m	0.0135 m	0.0918 m



**Table A.6 All masses used for bi-directional ground motions.**

$m_2$	$m_3$	$m_4$	$m_5$
0.45 kg	0.34 kg	0.45 kg	8.0 kg

**Table A.7 All inertias used for bi-directional ground motions.**

$\mathbf{J}_2$	$\mathbf{J}_3$
$\begin{bmatrix} 1.69 & 0 & 0 \\ 0 & 1.69 & 0 \\ 0 & 0 & 3.27 \end{bmatrix}_{t_i^2 \otimes t_j^2} \times 10^{-4} \text{ kg} \cdot \text{m}^2$	$\begin{bmatrix} 4.91 & 0 & 0 \\ 0 & 4.91 & 0 \\ 0 & 0 & 6.17 \end{bmatrix}_{t_i^3 \otimes t_j^3} \times 10^{-5} \text{ kg} \cdot \text{m}^2$
$\mathbf{J}_4$	$\mathbf{J}_5$
$\begin{bmatrix} 1.69 & 0 & 0 \\ 0 & 1.69 & 0 \\ 0 & 0 & 3.27 \end{bmatrix}_{t_i^4 \otimes t_j^4} \times 10^{-4} \text{ kg} \cdot \text{m}^2$	$\begin{bmatrix} 3.93 & 0 & 0 \\ 0 & 3.93 & 0 \\ 0 & 0 & 7.84 \end{bmatrix}_{t_i^5 \otimes t_j^5} \times 10^{-2} \text{ kg} \cdot \text{m}^2$

**Table A.8 All stiffnesses and damping constants used for bi-directional ground motions.**

$k_{cy}$	$k_{top}$	$c_{cy}$	$c_{top}$	$\mu_1$	$\mu_2$	$\mu_3$	$\mu_4$
107 N/m	106 N/m	5 N sec/m	5 N sec/m	0.118	0.036	0.036	0.137



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